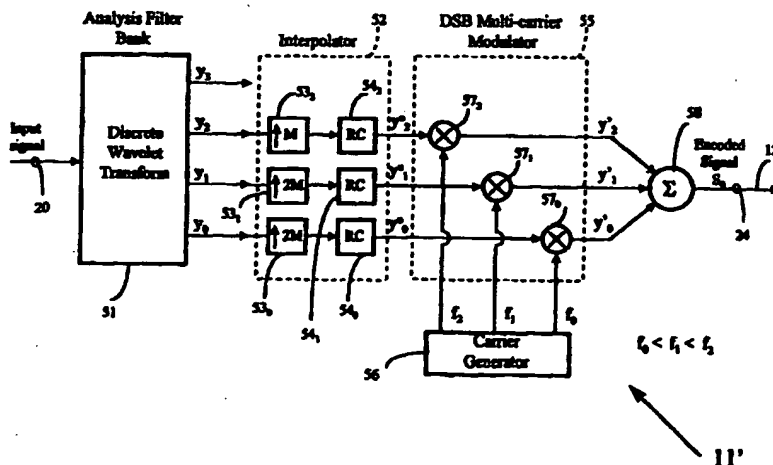




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>H04B 1/66, H04L 5/06...</b>		<b>A1</b>	(11) International Publication Number: <b>WO 98/09383</b>
			(43) International Publication Date: <b>5 March 1998 (05.03.98)</b>
(21) International Application Number: <b>PCT/CA97/00608</b>		(81) Designated States: <b>AT, AU, BR, CA, CN, CZ, DE, ES, FI, GB, HU, JP, KR, MX, NZ, RU, SE, SG, UA, US, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</b>	
(22) International Filing Date: <b>29 August 1997 (29.08.97)</b>			
(30) Priority Data: <b>2,184,541 30 August 1996 (30.08.96) CA</b>		<b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>	
(71) Applicant (for all designated States except US): <b>BELL CANADA [CA/CA]; 1050 Beaver Hall Hill, Montreal, Quebec H3C 3G4 (CA).</b>			
(72) Inventors; and (75) Inventors/Applicants (for US only): <b>YEAP, Tet, Hin [CA/CA]; 675 Roosevelt Avenue, Ottawa, Ontario K2A 2A8 (CA). ABDEL-RAHEEM, Esam, Mostafa [CA/EG]; Apartment #7, Area #6, 8 Korash Street, Nasr City 11371, Cairo (EG).</b>			
(74) Agent: <b>ADAMS, Thomas; Thomas Adams &amp; Associates, P.O. Box 11100, Station H, Ottawa, Ontario K2H 7T8 (CA).</b>			

## (54) Title: FREQUENCY DIVISION MULTIPLEXED TRANSMISSION OF SUB-BAND SIGNALS



## (57) Abstract

A method and apparatus for processing an input signal for transmission and/or storage, uses an analysis filter bank (21; 51) to decompose the signal into sub-band signals which are used to modulate a plurality of carriers. The carriers are combined into a single encoded signal for transmission/storage. The encoder/decoder is especially applicable to telecommunications systems and recording systems. The analysis filter bank may comprise a multiresolution filter, such as an octave band filter bank (40A/B/C/D...43A/B/C/D) implementing Discrete Wavelet Transform. The modulation may comprise double-sideband, single-sideband, quadrature amplitude modulation, and so on. Where the input signal is analog, the carriers may be modulated directly by the sub-band signals. Where the input signal is digital, however, the sub-band signals are interpolated, all to the same rate, and then used to modulate the carriers. The corresponding decoder (13) extracts the modulated carrier signals, demodulates them, decimates them (if applicable) and then synthesizes them to reconstruct the original input signal. One or more of the sub-bands, especially at the higher frequencies, may be discarded. Discrete wavelet transformation is applied to segments of the digital signal.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

**FREQUENCY DIVISION MULTIPLEXED TRANSMISSION OF SUB-BAND SIGNALS****BACKGROUND OF THE INVENTION****5 TECHNICAL FIELD**

The invention relates to a method and apparatus for encoding signals, whether digital or analog, for transmission and/or storage. The invention is especially, but not exclusively, applicable to the encoding of digital signals for transmission via communications channels, such as twisted wire pair subscriber loops in  
10 telecommunications systems or to storage of signals in or on a storage medium, such as video signal recordings, audio recordings, data storage in computer systems, and so on.

**BACKGROUND ART**

Embodiments of the invention are especially applicable to Asynchronous Transfer  
15 Mode (ATM) telecommunications systems. Such systems are now available to transmit millions of data bits in a single second and are expected to turn futuristic interactive concepts into exciting realities within the next few years. However, deployment of ATM is hindered by expensive port cost and the cost of running an optical fiber from an ATM switch to the customer-premises using an architecture known as Fiber-to-the-home.  
20 Running ATM traffic in part of the subscriber loop over existing copper wires would reduce the cost considerably and render the connection of ATM to customer-premises feasible.

The introduction of ATM signals in the existing twisted-pair subscriber loops leads to a requirement for bit rates which are higher than can be achieved with  
25 conventional systems in which there is a tendency, when transmitting at high bit rates, to lose a portion of the signal, typically the higher frequency part, causing the signal quality to suffer significantly. This is particularly acute in two-wire subscriber loops, such as so-called twisted wire pairs. Using quadrature amplitude modulation (QAM), it is possible to meet the requirements for Asymmetric Digital Subscriber Loops (ADSL),  
30 involving rates as high as 1.5 megabits per second for loops up to 3 kilometers long with specified error rates. It is envisaged that ADSL systems will allow rates up to about 8 megabits per second over 1 kilometer loops. Nevertheless, these rates are still considered to be too low, given that standards currently proposed for ATM basic

subscriber access involve rates of about 26 megabits per second.

QAM systems tend to operate at the higher frequency bands of the channel, which is particularly undesirable for two-wire subscriber loops where attenuation and cross-talk are worse at the higher frequencies. It has been proposed, therefore, to use frequency division modulation (FDM) to divide the transmission system into a set of frequency-indexed sub-channels. The input data is partitioned into temporal blocks, each of which is independently modulated and transmitted in a respective one of the sub-channels. One such system, known as discrete multi-tone transmission (DMT), is disclosed in United States patent specification No. 5,479,447 issued December 1995 and in an article entitled

10 "Performance Evaluation of a Fast Computation Algorithm for the DMT in High-Speed Subscriber Loop", IEEE Journal on Selected Areas in Communications, Vol. 13, No. 9, December 1995 by I. Lee *et al.* Specifically, US 5,479,447 discloses a method and apparatus for adaptive, variable bandwidth, high-speed data transmission of a multi-carrier signal over a digital subscriber loop. The data to be transmitted is divided into

15 multiple data streams which are used to modulate multiple carriers. These modulated carriers are converted to a single high speed signal by means of IFFT (Inverse Fast Fourier Transform) before transmission. At the receiver, Fast Fourier Transform (FFT) is used to split the received signal into modulated carriers which are demodulated to obtain the original multiple data streams.

20 Such a DMT system is not entirely satisfactory for use in two-wire subscriber loops which are very susceptible to noise and other sources of degradation which could result in one or more sub-channels being lost. If only one sub-channel fails, perhaps because of transmission path noise, the total signal is corrupted and either lost or, if error detection is employed, may be retransmitted. It has been proposed to remedy this

25 problem by adaptively eliminating noisy sub-channels, but to do so would involve very complex circuitry.

A further problem with DMT systems is poor separation between sub-channels. In United States patent specification No. 5,497,398 issued March 1996, M.A. Tzannes and M.C. Tzannes proposed ameliorating the problem of degradation due to sub-channel

30 loss, and obtaining superior burst noise immunity, by replacing the Fast Fourier Transform with a lapped transform, thereby increasing the difference between the main lobe and side lobes of the filter response in each sub-channel. The lapped transform may comprise wavelets, as disclosed by M.A. Tzannes, M.C. Tzannes and H.L. Resnikoff

in an article "The DWMT: A Multicarrier Transceiver for ADSL using *M*-band Wavelets", ANSI Standard Committee T1E1.4 Contribution 93-067, Mar. 1993 and by S.D. Sandberg, M.A. Tzannes in an article "Overlapped Discrete Multitone Modulation for High Speed Copper Wire Communications", IEEE Journal on Selected Areas in Comm., Vol. 13, No. 9, pp. 1571-1585, Dec. 1995, such systems being referred to as "Discrete Wavelet Multitone (DWMT)".

A disadvantage of both DMT and DWMT systems is that they typically use a large number of sub-channels, for example 256 or 512, which leads to complex, costly equipment and equalization and synchronization difficulties. These difficulties are exacerbated if, to take advantage of the better characteristics of the two-wire subscriber loop at lower frequencies, the number of bits transmitted at the lower frequencies is increased and the number of bits transmitted at the higher frequencies reduced correspondingly.

It is known to use sub-band filtering to process digital audio signals prior to recording on a storage medium, such as a compact disc. Thus, US patent specification number 5,214,678 (Rault *et al*) discloses an arrangement for encoding audio signals and the like into a set of sub-band signals using a commutator and a plurality of analysis filters, which could be combined. Rault *et al* use recording means which record the sub-band signals as multiple, distinct tracks. This is not entirely satisfactory because each sub-band signal would require its own recording head or, if applied to transmission, its own transmission channel.

United States patent specification number 5,161,210 (Druyvesteyn) discloses a similar analysis technique to that disclosed by Rault *et al* but, in this case, the sub-band signals are combined by means of a synthesis filter before recordal. The input audio signal first is analyzed, and an identification signal is mixed with each of the sub-band signals. The sub-band signals then are recombined using a synthesis filter. The technique ensures that the identification signal cannot be removed simply by normal filtering. The frequency spectrum of the recombined signal is substantially the same as that of the input signal, so it would still be susceptible to corruption by loss of the higher frequency components. The corresponding decoder also comprises an analysis filter and a synthesis filter. Consequently, the apparatus is very complex and would involve delays which would be detrimental in high speed transmission systems.

It is desirable to combine the sub-band signals in such a way as to reduce the risk

of corruption resulting from part of the signal being lost or corrupted during transmission and/or storage.

It should be noted that, although Rault *et al* use the term "analysis filter" in their specification, in this specification the term "analysis filter" will be used to denote a device which decomposes a signal into a plurality of sub-band signals in such a way that the original signal can be reconstructed using a complementary synthesis filter.

#### SUMMARY OF THE INVENTION:

The present invention seeks to eliminate, or at least mitigate, the disadvantages of these known systems and has for its object to provide an improved method and apparatus for encoding signals for transmission and/or storage.

According to one aspect of the invention, apparatus for encoding an input signal for transmission or storage and decoding such encoded signal to reconstruct the input signal, characterized by:

15 an encoder comprising

- (i) analysis filter means for analyzing the input signal into a plurality of sub-band signals, each sub-band centered at a respective one of a corresponding plurality of different frequencies;
- (ii) means for providing a plurality of carrier signals having different frequencies;
- (iii) modulation means for using at least some of the sub-band signals each to modulate a respective one of the plurality of carrier signals; and
- (iv) combining means for combining the modulated carrier signals to form the encoded signal for transmission or storage;

25 and a decoder comprising

- (v) filter means for extracting the plurality of modulated carrier signals from the received or recorded encoded signal;
- (vi) means for providing a plurality of carrier signals corresponding to those of said encoder;
- (vii) demodulation means for using at least some of the carrier signals to demodulate each of the extracted modulated carrier signals to extract the corresponding plurality of sub-band signals; and
- (vii) synthesis filter means complementary to said analysis filter means for

processing the plurality of extracted sub-band signals to produce a decoded signal corresponding to the input signal.

According to second and third aspects of the invention, there are provided the encoder *per se* and the decoder *per se* of the apparatus.

- 5       The analysis filter means may be uniform, for example an M-band filter bank, or non-uniform, for example a "multiresolution" filter bank such as an octave-band or dyadic filter bank which will produce sub-bands having different bandwidths, typically each half the width of its neighbour, or even a Short-time Fast Fourier Transform unit.

Where the input signal is digital, the analysis filter means may comprise an octave  
10 band filter bank implementing discrete wavelet transform (DWT).

For encoding digital input signals, the encoder may further comprise interpolation means for providing, for each sub-band signal, interpolated values between adjacent actual values of the sub-band signal. The decoder then will comprise a decimator for decimating the extracted sub-band signals to remove interpolated values before  
15 application to the synthesis filter means. The interpolation rate will be in proportion to the sampling rate of the sub-band concerned, so that the resulting interpolated sub-band signals all have the same rate.

The interpolation rate will be chosen according to the requirements of a particular transmission channel or storage means but typically will be of the order of 1:8 or more.

- 20       The interpolation means may comprise an upsampler, for interpolating intervals between actual values, and filter means, for example Raise Cosine filter means, for determining values between the actual samples and inserting them at the appropriate intervals.

Usually, when used with digital signals, sub-band analysis filters create sub-band  
25 signals which occupy a wide spectrum as compared with the original signal, which makes modulation difficult. Interpolating and smoothing the sub-band signals advantageously band-limits the spectrum of the sub-band signals, permitting modulation by a variety of techniques, for example Double or Single Sideband Amplitude Modulation, Quadrature Amplitude Modulation (QAM), Carrier Amplitude/Phase modulation (CAP), and so on.

- 30       According to a fourth aspect of the invention, there is provided a method of encoding an input signal for transmission or storage and decoding such encoded signal to reconstruct the input signal, characterized in that the encoding of the input signal comprises the steps of:

- (i) using ~~analysis filter means~~, analyzing the input signal into a plurality of sub-band signals, each sub-band centered at a respective one of a corresponding plurality of frequencies;
- (ii) providing a plurality of carrier signals having different frequencies;
- 5 (iii) using at least some of the sub-band signals each to modulate a respective one of the plurality of carrier signals; and
- (iv) combining the modulated carrier signals to form the encoded signal for transmission or storage;

and the decoding of the encoded signal comprises the steps of:

- 10 (v) extracting the plurality of modulated carrier signals from the received or recorded encoded signal;
- (vi) providing a plurality of carrier signals corresponding to those used in said encoding;
- (vii) using at least some of the carrier signals to demodulate the extracted
- 15 modulated carrier signals to extract the corresponding plurality of sub-band signals; and
- (vii) using synthesis filter means complementary to said analysis filter means, processing the plurality of extracted sub-band signals to produce a decoded signal corresponding to the input signal.

20

According to fifth and sixth aspects of the invention, there are provided the method of encoding *per se* and the method of decoding *per se*.

In embodiments of any of the above aspects of the invention which use Discrete Wavelet Transform, the digital input signal may be divided into segments and the  
25 discrete wavelet transform used to transform successive segments of the digital signal.

Where the input signal is analog, it may be digitized and sampled, then segmented (if appropriate). Where an octave band filter bank is used to implement DWT, however, segmentation of the input signal is not needed. Alternatively, an analog analysis filter bank could be used operating upon the continuous analog signal directly, each sub-band  
30 signal comprising a different centre frequency.

The foregoing and other objects, features, aspects and advantages of the present invention will become more apparent from the following detailed description of preferred embodiments of the invention which are described by way of example only with



reference to with the accompanying drawings.

#### BRIEF DESCRIPTION OF DRAWINGS:

Figure 1 is a simplified schematic diagram illustrating a transmission system including an encoder and decoder according to the invention;

Figure 2 is a schematic block diagram of an encoder embodying the present invention;

Figure 3 is a schematic block diagram of a corresponding decoder for signals from the encoder of Figure 1;

Figure 4A illustrates three-stage Discrete Wavelet Transform decomposition using a pyramid algorithm to provide sub-band signals;

Figure 4B illustrates three-stage synthesis of an output signal from the sub-band signals of Figure 4A;

Figure 5 is a block schematic diagram of an encoder using a sub-band analysis filter and Double Sideband amplitude modulation with three sub-bands and corresponding carriers;

Figure 6 is a block schematic diagram of a decoder using three sub-bands and carriers for use with the encoder of Figure 5;

Figures 7A, 7B and 7C illustrate the frequency spectrums of an input signal, and three sub-band signals before and after multi-carrier SSB modulation;

Figure 8 illustrates, as an example, a very simple input signal  $S_i$  applied to the encoder of Figure 5;

Figures 9A, 9B, 9C and 9D illustrate the sub-band wavelet signals  $y_0$ ,  $y_1$ ,  $y_2$  and  $y_3$  produced by analysis filtering of the input signal  $S_i$  of Figure 8;

Figures 10A, 10B and 10C illustrate modulated carrier signals  $y'_0$ ,  $y'_1$  and  $y'_2$ , modulated by sub-band wavelet signals  $y_0$ ,  $y_1$  and  $y_2$ , respectively;

Figure 11 illustrates the encoded/transmitted signal  $S_o$ ;

Figure 12 illustrates the power spectrum of the transmitted signal  $S_o$ ;

Figures 13A, 13B and 13C illustrate the recovered wavelet modulated carriers,  $y''_0$ ,  $y''_1$  and  $y''_2$ ;

Figure 14A, 14B and 14C illustrate the recovered wavelet signals  $y^*_0$ ,  $y^*_1$  and  $y^*_2$ ; and

Figure 15 illustrates the reconstructed signal.

## DESCRIPTION OF THE PREFERRED EMBODIMENTS

A transmission system embodying the present invention is illustrated in Figure 1. The system comprises digital input signal source 10, an encoder 11, transmission medium 12, decoder 13 and signal destination 14. Input signal  $S_i$  from signal source 10 is applied to the encoder 11 which encodes it using sub-band filtering and multi-carrier modulation and supplies the resulting encoded signal  $S_e$  to transmission medium 12, which is represented by a transmission channel 15, noise source 16 and summer 17, the latter combining noise with the signal in the transmission channel 15 before it reaches the decoder 13. Although a transmission medium 12 is illustrated, it could be an analogous storage medium instead. The output of the decoder 13 is supplied to the signal destination 14. The usable bandwidth of channel 15 dictates the maximum allowable rate of a signal that could be transmitted over the channel.

A first embodiment of the encoder 11 is illustrated in more detail in Figure 2. The input signal  $S_i$  is applied via an input port 20 to analysis filter bank 21 which decomposes it into sub-bands to generate/extract a lowpass sub-band signal  $y_0$ , bandpass sub-band signals  $y_1 - y_{N-2}$  and a highpass sub-band signal  $y_{N-1}$ . The sub-band signals  $y_1 - y_{N-1}$  are supplied to a multi-carrier modulator 22 which uses each sub-band signal to modulate a respective carrier of a selected frequency, as will be explained later. The lowpass sub-band signal  $y_0$  contains more low frequency components than the other sub-band signal, and is used to modulate a low frequency carrier  $f_0$ . The bandpass sub-band signals  $y_1 - y_{N-2}$  and highpass sub-band signal  $y_{N-1}$  have more high frequency components than the lowpass wavelet signal  $y_0$  and are therefore used to modulate higher frequency carrier signals  $f_1 - f_{N-1}$ , respectively, of which the frequencies increase from  $f_1$  to  $f_{N-1}$ . The modulated carrier signals  $y'_0 - y'_{N-1}$  are combined by summer 23 to form the encoded output signal  $S_e$  which is transmitted via output port 24 to transmission medium 12 for transmission to decoder 13 (Figure 1).

A suitable decoder 13, for decoding the encoded output signal, will now be described with reference to Figure 3. After passing through the transmission medium 12, the transmitted signal  $S_e$  may be attenuated and contain noise. Hence, as received by the decoder at port 30 it is identified as received signal  $S'_e$  (the prime signifying that it is not identical to encoded signal  $S_e$ ) and supplied to a filter array 31. Each of the filters in the array 31 corresponds to one of the frequencies  $f_0 - f_{N-1}$  of the multi-carrier modulator 22 (Figure 2) and recovers the corresponding modulated carrier signals. The

recovered modulated carrier signals  $y''_0 - y''_{N-1}$  separated by the array then are demodulated by a multi-carrier demodulator 32 to recover the lowpass, bandpass and highpass sub-band signals  $y'_0 - y'_{N-1}$  corresponding to sub-band signals  $y_0 - y_{(N-1)}$ , respectively, in the encoder 11. The recovered sub-band signals are supplied to synthesis  
 5 filter bank 33 which, operating in a complementary and inverse manner to analysis filter bank 21, produces an output signal  $S'_1$  which should closely resemble the input signal  $S_1$  in Figure 2, and supplies it to signal destination 14 via output port 34. Usually, the recovered signal  $S'_1$  will be equalized using an adaptive equalizer to compensate for distortion and noise introduced by the channel 12.

10 It should be noted that the highpass sub-band signal  $y_{N-1}$  and some of sub-band signals  $y_0 - y_{N-2}$  in Figure 2 may not need to be transmitted, if they contain little transmission power as compared with other sub-band signals. When these sub-band signals are not transmitted, the synthesis filter bank 33 shown in Figure 3 will insert zeros in place of the missing sub-band signals. The reconstructed signal  $S'_1$  would then  
 15 be only a close approximation to the original input signal  $S_1$ . Generally, the more sub-bands used, the better the approximation.

Preferably, analysis filter 21 is a multiresolution filter bank which implements a Discrete Wavelet Transform (DWT). In order to facilitate a better understanding of the embodiments which use DWT, a brief introduction to discrete wavelet transforms (DWT)  
 20 will first be given. DWT represents an arbitrary square integrable function as the superposition of a family of basis functions called *wavelets*. A family of wavelet basis functions can be generated by translating and dilating the *mother wavelet* corresponding to the family. The DWT coefficients can be obtained by taking the inner product between the input signal and the wavelet functions. Since the basis functions are  
 25 translated and dilated versions of each other, a simpler algorithm, known as *Mallat's tree algorithm* or *pyramid algorithm*, has been proposed by S. G. Mallat in "A theory of multiresolution signal decomposition: the wavelet representation", *IEEE Trans. on Pattern Recognition and Machine Intelligence*, Vol. 11, No. 7, July 1989. In this algorithm, the DWT coefficients of one stage can be calculated from the DWT  
 30 coefficients of the previous stage, which is expressed as follows:

$$w_L(n, j) = \sum_m w_L(m, j-1) h(m-2n) \quad (1a)$$

$$W_H(n, j) = \sum_m W_L(m, j-1) g(m-2n) \quad (1b)$$

where  $W(p, q)$  is the  $p$ -th wavelet coefficient at the  $q$ -th stage, and  $h(n)$  and  $g(n)$  are the dilation coefficients corresponding to the scaling and wavelet functions, respectively.

For computing the DWT coefficients of the discrete-time data, it is assumed that the input data represents the DWT coefficients of a high resolution stage. Equations 1a and 1b can then be used for obtaining DWT coefficients of subsequent stages. In practice, this decomposition is performed only for a few stages. It should be noted that the dilation coefficients  $h(n)$  represent a lowpass filter, whereas the coefficients  $g(n)$  represent a highpass filter. Hence, DWT extracts information from the signal at different scales. The first stage of wavelet decomposition extracts the details of the signal (high frequency components) while the second and all subsequent stages of wavelet decompositions extract progressively coarser information (lower frequency components). It should be noted that compactly supported wavelets can be generated by a perfect-reconstruction two-channel filter banks with a so-called octave-band tree-structured architecture. Orthogonal and biorthogonal filter banks can be used to generate wavelets in these system. A three stage octave-band tree structure for Discrete Wavelet Transformation will now be described with reference to Figures 4A and 4B, in which the same components in the different stages have the same reference number but with the suffix letter of the stage.

Referring to Figure 4A, the three decomposition stages A, B and C have different sampling rates. Each of the three stages A, B and C comprises a highpass filter 40 in series with a downsampler 41, and a lowpass filter 42 in series with a downsampler 43. The cut-off frequency of each lowpass filter 42 is substantially the same as the cut-off frequency of the associated highpass filter 40. In each stage, the cut-off frequency is equal to one quarter of the sampling rate for that stage.

The  $N$  samples of input signal  $S_1$  are supplied in common to the inputs of highpass filter 40A and lowpass filter 42A. The corresponding  $N$  high frequency samples from highpass filter 40A are downsampled by a factor of 2 by downsampler 41A and the resulting  $N/2$  samples supplied to the output as the highpass wavelet  $y_3$ . The  $N$  low frequency samples from lowpass filter 42A are downsampled by a factor of 2 by downsampler 43A and the resulting  $N/2$  samples supplied to stage B where the same

procedure is repeated. In stage B, the  $N/2$  higher frequency samples from highpass filter 40B are downsampled by downsampler 41B and the resulting  $N/4$  samples supplied to the output as bandpass wavelet  $y_2$ . The other  $N/2$  samples from lowpass filter 42B are downsampled by downsampler 43B and the resulting  $N/4$  samples are supplied to the

5 third stage C, in which highpass filter 40C and downsampler 41C process them in like manner to provide at the output  $N/8$  samples as bandpass wavelet  $y_1$ . The other  $N/4$  samples from lowpass filter 42C are downsampled by downsampler 43C to give  $N/8$  samples and supplies them to the output as low-pass wavelet  $y_0$ .

It should be noted that, if the input signal segment comprises, for example, 1024

10 samples or data points, wavelets  $y_0$  and  $y_1$  comprise only 128 samples, wavelet  $y_2$  comprises 256 samples and wavelet  $y_3$  comprises 512 samples.

Instead of the octave-band structure of Figure 4A, a set of one lowpass, two bandpass filters and one highpass filter could be used, in parallel, with different downsampling rates.

15 Referring now to Figure 4B, in order to reconstruct the original input signal, the DWT wavelet signals are upsampled and passed through another set of lowpass and highpass filters, the operation being expressed as:

$$w_L(n, j) = \sum_k w_L(k, j+1) h'(n-2k) + \sum_l w_H(l, j+1) g'(n-2l) \quad (2)$$

where  $h'(n)$  and  $g'(n)$  are, respectively, the lowpass and highpass synthesis filters

20 corresponding to the mother wavelet. It is observed from equation 2 that  $j$ -th level DWT wavelet signals can be obtained from  $(j + 1)$ -th level DWT coefficients.

Compactly supported wavelets are generally used in various applications. Table I lists a few orthonormal wavelet filter coefficients  $h(n)$  that are popular in various applications as disclosed by I. Daubechies, in "Orthonormal bases of compactly

25 supported wavelets", Comm. Pure Appl. Math, Vol. 41, pp. 906-966, 1988. These wavelets have the property of having the maximum number of vanishing moments for a given order, and are known as "Daubechies wavelets".

	Coefficients	Wavelets	
		Daub-6	Daub-8
5	$h(0)$	0.332671	0.230378
	$h(1)$	0.806892	0.714847
	$h(2)$	0.459878	0.630881
	$h(3)$	-0.135011	-0.027984
	$h(4)$	-0.085441	-0.187035
10	$h(5)$	0.035226	0.030841
	$h(6)$		0.032883
	$h(7)$		-0.010597

Table I

An embodiment of the invention in which the higher sub-bands are not transmitted, and which uses discrete wavelet transforms for encoding a digital signal, will now be described with reference to Figure 5. In the transmitter/encoder 11' of Figure 5, the input signal  $S_1$  is supplied to an input port 20 of analysis filter means comprising an octave-band filter bank 51 for applying Discrete Wavelet Transform as illustrated in Figure 4A to the signal  $S_1$  to generate lowpass sub-band wavelet signal  $y_0$ , two bandpass sub-band wavelet signals,  $y_1$  and  $y_2$ , and the highpass sub-band wavelet signal  $y_3$ . In this implementation, only sub-band wavelet signals  $y_0$ ,  $y_1$  and  $y_2$  will be processed. Highpass sub-band wavelet signal  $y_3$  is discarded. Interpolator means 52 interpolates sub-band wavelet signals  $y_0$ ,  $y_1$  and  $y_2$  by factors  $2M$ ,  $2M$  and  $M$ , respectively, where  $M$  is an integer, typically 8 to 24, such that the three sub-band wavelet signals ( $y_0$ ,  $y_1$  and  $y_2$ ) have equal sample rates. Thus, within interpolator 52, the sub-band wavelet signals  $y_0$ ,  $y_1$  and  $y_2$  are upsampled by upsamplers 53<sub>0</sub>, 53<sub>1</sub> and 53<sub>2</sub>, respectively, which insert zero value samples at intervals between actual samples. The upsampled signals then are filtered by three Raise-Cosine filters 54<sub>0</sub>, 54<sub>1</sub> and 54<sub>2</sub>, respectively, which insert at each upsampled "zero" point a sample calculated from actual values of previous samples. The Raise-Cosine filters are preferred so as to minimize intersymbol interference. The three interpolated sub-band wavelet signals are supplied to double side-band (DSB) multi-carrier modulator 55 which uses them to

modulate three separate carrier signals  $f_0$ ,  $f_1$  and  $f_2$ , where  $f_0 < f_1 < f_2$ , provided by carrier generator 56. The modulator 55 comprises multipliers 57<sub>0</sub>, 57<sub>1</sub> and 57<sub>2</sub> which multiply the carrier signals  $f_0$ ,  $f_1$  and  $f_2$  by the three interpolated wavelet signals  $y''_0$ ,  $y''_1$  and  $y''_2$ , respectively. The resulting three modulated carrier signals  $y'_0$ ,  $y'_1$  and  $y'_2$  are added together by a summer 58 to form the encoded signal  $S_0$  for transmission by way of port 24 to transmission medium 12.

At the corresponding decoder 13' shown in Figure 6, the signal  $S'_0$  received at port 30 is supplied to each of three bandpass filters 61<sub>0</sub>, 61<sub>1</sub> and 61<sub>2</sub> which recover the modulated carrier signals  $y''_0$ ,  $y''_1$  and  $y''_2$ . The recovered modulated carrier signals  $y''_0$ ,  $y''_1$  and  $y''_2$  are demodulated using multi-carrier double sideband (DSB) demodulator 62. A carrier generator 63 generates carrier signals having frequencies  $f_0$ ,  $f_1$  and  $f_2$ , which are supplied to multipliers 64<sub>0</sub>, 64<sub>1</sub> and 64<sub>2</sub> within the demodulator 62 and which multiply the carrier signals  $f_0$ ,  $f_1$  and  $f_2$  by the recovered modulated carrier signals  $y''_0$ ,  $y''_1$  and  $y''_2$ , respectively. The DSB demodulator 62 comprises lowpass filters 65<sub>0</sub>, 65<sub>1</sub> and 65<sub>2</sub> for filtering the outputs of the multipliers 64<sub>0</sub>, 64<sub>1</sub> and 64<sub>2</sub>, respectively, as is usual in a DSB demodulator.

The demodulated signals from the filters 65<sub>0</sub>, 65<sub>1</sub> and 65<sub>2</sub> are decimated by 2M, 2M and M, respectively, by decimators 66<sub>0</sub>, 66<sub>1</sub> and 66<sub>2</sub> of a decimator unit 66 and the resulting recovered sub-band signals  $y^*_0$ ,  $y^*_1$  and  $y^*_2$  each supplied to a corresponding one of four inputs of a synthesis filter bank 67 which applies to them an Inverse Discrete Wavelet Transform (IDWT) as illustrated in Figure 4B to recover the signal  $S'_1$  which corresponds to the input signal  $S_1$ . The highpass sub-band wavelet signal  $y_3$ , which was not transmitted, is replaced by a "zero" signal at the corresponding "highest" frequency input 68 of the synthesis filter bank 67. The resulting output signal  $S'_1$  from the synthesis filter bank 67 is the decoder output signal supplied via output port 34, and is a close approximation of the input signal  $S_1$  supplied to the encoder 11' of Figure 5.

The bandwidth of the transmitted signal  $S_0$  is wider than that of the original signal  $S_1$  because each sub-band has upper and lower sidebands. A bandwidth reduction can be achieved by using Single Sideband (SSB) modulation. To do so, the encoder 11' of Figure 5 would be modified by replacing each of the multipliers 57<sub>0</sub>, 57<sub>1</sub> and 57<sub>2</sub> by a SSB modulator. Figures 7A, 7B and 7C illustrate operation of the encoder using very simplified signals and, for convenience of illustration, SSB modulation.

Figure 7A shows the frequency spectrum of a much-simplified input signal  $S_1$

occupying a bandwidth  $BW$  centered at frequency  $f_c$ . As shown in Figure 7B, after analysis filtering and interpolation, the input signal  $S_i$  has been partitioned into three interpolated sub-band signals,  $y'_0$ ,  $y'_1$  and  $y'_2$ . It should be noted that, for complex input signals, the sub-band signals  $y_0$ ,  $y_1$  and  $y_2$  prior to interpolation have a very wide spectrum. After upsampling and filtering by the interpolator 52 (Figure 5), sub-band signals  $y''_0$ ,  $y''_1$  and  $y''_2$  each have a frequency spectrum that is much narrower than the frequency spectrum of the original signal  $S_i$ .

Following modulation by the DSB multi-carrier modulation means 55, the bandwidths  $BW_0$ ,  $BW_1$ , and  $BW_2$  of the corresponding modulated carriers  $y'_0$ ,  $y'_1$  and  $y'_2$  are determined by the sampling rate of the input signal  $S_i$ . The total bandwidth  $BW_0 + BW_1 + BW_2 + 2G$  may be greater than the bandwidth  $BW$  if all sub-bands are used, but may be less if only two are used. The output signal  $S_o$  from the summing means 58 has a spectrum which, as shown in Figure 7C, has three lobes, namely a lower frequency lobe centered at frequency  $f_0$ , a middle frequency lobe centered at frequency  $f_1$  and an upper frequency lobe centered at frequency  $f_2$ . The three lobes are separated from each other by two guard bands  $G$  to avoid interference and ensure that each carries information for its own sub-band only.

Simplified versions of the input signal  $S_i$ , sub-band wavelet signals  $y_0$ ,  $y_1$ ,  $y_2$  and  $y_3$ , sub-band wavelet modulated carriers  $y'_0$ ,  $y'_1$  and  $y'_2$ , and the transmitted signal  $S_o$ , which are similar in the encoders of Figures 2 and 5, are shown in Figures 8 - 10. Figure 8 shows the simplified input signal  $S_o$ , (which is not the same as that illustrated in Figure 7A). Figures 9A, 9B, 9C and 9D illustrate the sub-band wavelet signals  $y_0$ ,  $y_1$ ,  $y_2$  and  $y_3$  obtained by DWT processing of the input signal  $S_i$ . Figures 10A, 10B and 10C illustrate the corresponding modulated carrier signals  $y'_0$ ,  $y'_1$  and  $y'_2$  obtained by modulating the carrier signals  $f_0$ ,  $f_1$  and  $f_2$  with the sub-band wavelet signals  $y_0$ ,  $y_1$  and  $y_2$ , respectively. Because the waveform of the simplified input signal is so smooth, the wavelet signal  $y_2$  is interpolated by a factor of 2 only, and the wavelet signal  $y_0$  and  $y_1$  by a factor of 4 only. This is, of course, for illustration only; in practice the interpolator may typically range from 1:8 to 1:24. Figure 11 shows the encoded signal  $S_o$  and Figure 12 shows its frequency spectrum which comprises the spectrum components of  $y'_0$ ,  $y'_1$  and  $y'_2$  centered at frequencies of 1000 Hertz, 3000 Hertz and 5000 Hertz, respectively. for a message rate of 750 Hertz. The asymmetric distribution of transmission power between the lower and high frequency carriers should be noted. It should be appreciated



that these simplified signals are for illustration only and that real signals would be much more complex.

Figures 13A, 13B and 13C illustrate the recovered modulated carrier signals  $y''_0$ ,  $y''_1$ , and  $y''_2$ , and Figures 14A, 14B and 14C illustrate the recovered sub-band wavelet signals  $y'_0$ ,  $y'_1$ , and  $y'_2$ . Finally, Figure 15 illustrates the reconstructed signal  $S'_1$  which can be seen to be a close approximation of the input signal  $S_1$  shown in Figure 8.

In the above-described embodiment, the highpass sub-band signal  $y_3$  is not used, on the grounds that it probably contains negligible energy. If it has significant energy, however, it could be used, and the encoder and decoder modified appropriately.

10 While similar implementations using more than two sub-bands and carriers are possible, and might be desirable in some circumstances, for most applications, and especially communication of digital signals via twisted wire subscriber loops, they would be considered complex without significant improvement in performance.

It should be appreciated that other kinds of modulation might be used to modulate 15 the sub-band signals, for example, narrow-band frequency modulation, and so on.

It should also be appreciated that the signal source 10 and encoder 11 could be parts of a transmitter having other signal processing means. Likewise, the decoder 13 and signal destination 14 could be parts of a corresponding receiver.

Although the above-described embodiments of the invention use three or more of 20 the sub-band signals, it is envisaged that other applications, such as deep space communications, might use only one or two of the wavelets.

## INDUSTRIAL APPLICABILITY

An advantage of embodiments of the present invention, which use sub-band 25 signals to modulate carriers, is that transmission is reliable because the impairment of one sub-band in the system would cause the transmission system to degrade only gently. Also, the decoder bandpass filters can be easily designed because there are only a few frequency bands used. Moreover, in applications involving data transmission, data synchronization and clock recovery can be easily achieved in the decoder.

30 It should be noted that the present invention is not limited to transmission systems but could be used for other purposes to maintain signal integrity despite noise and attenuation. For example, it might be used in recording of the signal on a compact disc or other storage medium. The storage medium can therefore be equated with the

transmission medium 12 in Figure 1. It should be appreciated that the encoders and decoders described herein would probably be implemented by a suitably programmed digital signal processor or as a custom integrated circuit.

Although embodiments of the invention have been described and illustrated  
5 in detail, it is to be clearly understood that the same are by way of illustration and example only and not to be taken by way of the limitation, the spirit and scope of the present invention being limited only by the appended claims.

#### References

- [Mallat 1989] S. G. Mallat, "A theory of multiresolution signal decomposition: the  
10 wavelet representation," *IEEE Trans. on Pattern Recognition and Machine Intelligence*, Vol. 11, No. 7, July 1989.
- [Daubechies 1988] I. Daubechies, "Orthonormal bases of compactly supported wavelets," *Comm. Pure Appl. Math.*, Vol. 41, pp. 906-966, 1988
- [Bingham 1990] J.A.C. Bingham, "Multicarrier Modulation for Data Transmission: An  
15 Idea Whose Time Has Come", *IEEE Comm. Magazine*, Vol. 28, Apr. 1990.
- [Chow 1991] J.S. Chow, J.C. Tu, and J.M. Cioffi, "A Discrete Multitone Transceiver System for HDSL Applications", *IEEE J. on Selected Areas in Comm.*, Vol. 9, No. 6, pp. 895-908, Aug. 1991.
- [Tzannes 1993] M.A. Tzannes, M.C. Tzannes and H.L. Resnikoff, "The DWMT: A  
20 Multicarrier Transceiver for ADSL using M-band Wavelets", *ANSI Standard Committee T1E1.4 Contribution 93-067*, Mar. 1993.
- [Sandberg 1995] S.D. Sandberg, M.A. Tzannes, "Overlapped Discrete Multitone Modulation for High Speed Copper Wire Communications", *IEEE J. on Selected Areas in Comm.*, Vol. 13, No. 9, pp. 1571-1585, Dec. 1995.

## CLAIMS

1. Apparatus for encoding an input signal for transmission or storage and decoding such encoded signal to reconstruct the input signal, characterized by:
- 5 an encoder (11) comprising:
- (i) analysis filter means (21;51) for analyzing the input signal into a plurality of sub-band signals, each sub-band centered at a respective one of a corresponding plurality of frequencies;
  - (ii) means (25;56) for providing a plurality of carrier signals having different  
10 frequencies;
  - (iii) modulation means (22;55) for using at least some of the sub-band signals each to modulate a respective one of the plurality of carrier signals; and
  - (iv) combining means (23;58) for combining the modulated carrier signals to form the encoded signal for transmission or storage;
- 15 and a decoder (13) comprising:
- (v) filter means (31;61<sub>0</sub>, 61<sub>1</sub>, 61<sub>2</sub>) for extracting the plurality of modulated carrier signals from the received or recorded encoded signal;
  - (vi) means (35;63) for providing a plurality of carrier signals corresponding to those of said encoder;
  - 20 (vii) demodulation means (32;62) for using at least some of the carrier signals to demodulate the extracted modulated carrier signals to extract the corresponding plurality of sub-band signals; and
  - (vii) synthesis filter means (33;67) complementary to said analysis filter means for processing the plurality of extracted sub-band signals to produce a  
25 decoded signal corresponding to the input signal.
2. Apparatus as claimed in claim 1, characterized in that the analysis filter means comprises a uniform filter bank for producing sub-bands each having the same bandwidth.
- 30
3. Apparatus as claimed in claim 1, characterized in that the analysis filter means comprises a multiresolution filter bank for providing sub-bands having different bandwidths.

4. Apparatus as claimed in claim 3, characterized in that the multiresolution filter bank comprises an octave band filter bank (40A/B/C/D, 41A/B/C/D, 42A/B/C/D, 43A/B/C/D) implementing Discrete Wavelet Transform (DWT) to produce a plurality of wavelets as said plurality of sub-band signals, and the synthesis filter means comprises  
5 an octave band filter bank (Fig. 4B) implementing a corresponding Inverse Discrete Wavelet Transform.

5. Apparatus as claimed in claim 1, further characterized in that, for encoding digital input signals, the encoder (11') further comprises interpolation means (52) for providing,  
10 for each sub-band signal, interpolated values between adjacent actual values of the sub-band signal, and the decoder (13') further comprises a decimator (66) for decimating the extracted sub-band signals to remove interpolated values before application to the synthesis filter means, the interpolation rates being such that the resulting interpolated sub-band signals all have the same rate.

15

6. Apparatus as claimed in claim 5, characterized in that the interpolation means (52) comprises an upsampler (53<sub>0</sub>, 53<sub>1</sub>, 53<sub>2</sub>) for interpolating intervals between actual values and means (54<sub>0</sub>, 54<sub>1</sub>, 54<sub>2</sub>) for determining values between the actual samples and inserting such determined values at the appropriate intervals.

20

7. Apparatus as claimed in claim 6, characterized in that the determining means (54<sub>0</sub>, 54<sub>1</sub>, 54<sub>2</sub>) comprises Raise Cosine filter means.

8. Apparatus as claimed in claim 1, characterized in that in the encoder (11), the  
25 modulation means (22;55) uses fewer than the total number of said plurality of sub-band signals to modulate said carrier signals and, in the decoder (13), the synthesis filter means (33;67) substitutes zero level signals for the unused sub-band signals.

9. An encoder for use in the apparatus according to claim 1, and for encoding an  
30 input signal for transmission or storage, characterized by:

- (i) analysis filter means (21;51) for analyzing the input signal into a plurality of sub-band signals, each sub-band centered at a respective one of a corresponding plurality of frequencies;

- (ii) means (25;56) for providing a plurality of carrier signals having different frequencies;
- (iii) modulation means (22;55) for using at least some of the sub-band signals each to modulate a respective one of the plurality of carrier signals; and
- 5 (iv) combining means (23;58) for combining the modulated carrier signals to form the encoded signal for transmission or storage.

10. An encoder as claimed in claim 9, for use in the apparatus of claim 2 and characterized in that the analysis filter means comprises a uniform filter bank for  
10 producing sub-bands each having the same bandwidth.

11. An encoder as claimed in claim 9, for use in the apparatus of claim 3 and characterized in that the analysis filter means comprises a multiresolution filter bank for providing sub-bands having different bandwidths.

15

12. An encoder as claimed in claim 11, for use in the apparatus of claim 4 and characterized in that the multiresolution filter bank comprises an octave band filter bank (40A/B/C/D, 41A/B/C/D, 42A/B/C/D, 43A/B/C/D) implementing Discrete Wavelet Transform (DWT) to produce a plurality of wavelets as said plurality of sub-band  
20 signals.

13. An encoder as claimed in claim 9, for use in the apparatus of claim 5 and characterized in that, for encoding digital input signals, the encoder further comprises interpolation means (52) for providing, for each sub-band signal, interpolated values  
25 between adjacent actual values of the sub-band signal, for use with a decoder further comprising a decimator (66) for decimating the extracted sub-band signals to remove interpolated values before application to the synthesis filter means, the interpolation rate being such that the resulting interpolated sub-band signals all have the same rate.

30 14. An encoder as claimed in claim 13, for use in the apparatus of claim 6 and characterized in that the interpolation means (52) comprises an upsampler (53<sub>0</sub>, 53<sub>1</sub>, 53<sub>2</sub>) for interpolating intervals between actual values and filter means (54<sub>0</sub>, 54<sub>1</sub>, 54<sub>2</sub>) for determining values between the actual samples and inserting such determined values at

the appropriate intervals.

15. An encoder as claimed in claim 14, for use in the apparatus of claim 7 and characterized in that the determining means (54<sub>0</sub>, 54<sub>1</sub>, 54<sub>2</sub>) comprises Raise Cosine filter  
5 means.

16. An encoder as claimed in claim 9, for use in the apparatus of claim 8 and characterized in that the modulation means (22;55) uses fewer than the total number of said plurality of sub-band signals to modulate said carrier signals.

10

17. A decoder (13) for decoding an encoded signal encoded by analysis filtering an input signal to produce a plurality of sub-band signals, using at least some of the sub-band signals to modulate a plurality of carrier signals, and combining the modulated carrier signals to form the encoded signal, the decoder being characterized by:

15 filter means (31;61<sub>0</sub>, 61<sub>1</sub>, 61<sub>2</sub>) for recovering the plurality of modulated carrier signals from the encoded signal;  
means (35;63) for providing a plurality of carrier signals corresponding to those used to encode the encoded signal;  
demodulation means (32;62) for using at least some of the carrier signals to  
20 demodulate the recovered modulated carrier signals to extract the corresponding plurality of sub-band signals; and  
synthesis filter means (33;67) complementary to the analysis filter means used to encode the encoded signal for processing the plurality of extracted sub-band signals to produce a decoded signal.

25

18. A decoder as claimed in claim 17, for decoding encoded signals derived by encoding a digital input signal and interpolating values between adjacent actual values of the sub-band signals, the interpolation rate being such that the resulting interpolated sub-band signals all have the same rate, the decoder further characterized by a decimator  
30 (66) for decimating the extracted sub-band signals to remove interpolated values before application to the synthesis filter means.

19. A decoder as claimed in claim 17 or 18, characterized in that, for decoding an

encoded signal encoded using fewer than the total number of sub-bands generated by the analysis filter means, the synthesis filter means (33;67) substitutes zero-level signals for the unused sub-band signals.

5 20. A method of encoding an input signal for transmission or storage and decoding such encoded signal to reconstruct the input signal, characterized in that the encoding of the input signal comprises the steps of:

- 10 (i) using analysis filter means, analyzing the input signal into a plurality of sub-band signals, each sub-band centered at a respective one of a corresponding plurality of frequencies;
- (ii) providing a plurality of carrier signals having different frequencies;
- (iii) using at least some of the sub-band signals each to modulate a respective one of the plurality of carrier signals; and
- (iv) combining the modulated carrier signals to form the encoded signal for  
15 transmission or storage;

and the decoding of the encoded signal comprises the steps of:

- (v) extracting the plurality of modulated carrier signals from the received or recorded encoded signal;
- (vi) providing a plurality of carrier signals corresponding to those used in said  
20 encoding;
- (vii) using at least some of the carrier signals to demodulate the extracted modulated carrier signals to extract the corresponding plurality of sub-band signals; and
- (vii) using synthesis filter means complementary to said analysis filter means,  
25 processing the plurality of extracted sub-band signals to produce a decoded signal corresponding to the input signal.

21. A method as claimed in claim 20, characterized in that the analysis filtering uses a uniform filter bank to produce sub-bands each having the same bandwidth.

30

22. A method as claimed in claim 20, characterized in that the analysis filtering uses a multiresolution filter bank to provide sub-bands having different bandwidths.

23. A method as claimed in claim 22, characterized in that the analysis filtering uses an octave band filter bank implementing Discrete Wavelet Transform (DWT) to produce a plurality of wavelets as said plurality of sub-band signals, and the synthesis filtering uses an octave band filter bank implementing a corresponding Inverse Discrete Wavelet Transform.

24. A method as claimed in claim 20, further characterized in that, for encoding digital input signals, the encoding further comprises the step of interpolating each sub-band signal by inserting interpolated values between adjacent actual values of the sub-band signal, and the decoding further comprises decimating the extracted sub-band signals to remove interpolated values before synthesis filtering, the interpolation rates being such that the resulting interpolated sub-band signals all have the same rate.

25. A method as claimed in claim 24, characterized in that the interpolation includes the step of upsampling the sub-band signal to interpolate intervals between actual values, using previous actual to determine interpolated values and inserting such determined interpolated values at the appropriate intervals.

26. A method as claimed in claim 25, characterized in that the determination of the interpolated values uses Raise Cosine filtering.

27. A method as claimed in claim 20, wherein the modulation uses fewer than the total number of said plurality of sub-band signals to modulate said carrier signals and, in the processing by the synthesis filter means, the unused sub-band signals are replaced by zero level signals.

28. A method of encoding an input signal for transmission or storage, characterized by the steps of:

- (i) using analysis filter to analyze the input signal into a plurality of sub-band signals, each sub-band centered at a respective one of a corresponding plurality of frequencies;
- (ii) providing a plurality of carrier signals having different frequencies;
- (iii) using at least some of the sub-band signals each to modulate a respective



- one of the plurality of carrier signals; and
- (iv) combining the modulated carrier signals to form the encoded signal for transmission or storage.

5 29. An encoding method as claimed in claim 28, characterized in that the analysis filtering uses a uniform filter bank for producing sub-bands each having the same bandwidth.

30. An encoding method as claimed in claim 28, characterized in that the analysis  
10 filtering uses a multiresolution filter bank for providing sub-bands having different bandwidths.

31. An encoding method as claimed in claim 29, characterized in that the analysis filtering uses an octave band filter bank implementing Discrete Wavelet Transform  
15 (DWT) to produce a plurality of wavelets as said plurality of sub-band signals.

32. An encoding method as claimed in claim 28, characterized in that, for encoding digital input signals, the encoding further comprises the step of interpolating each sub-band signal to provide interpolated values between adjacent actual values of the sub-band  
20 signal, the interpolation rate being such that the resulting interpolated sub-band signals all have the same rate.

33. An encoding method as claimed in claim 32, characterized in that the interpolation comprises the step of upsampling the sub-band signal to establish intervals  
25 between actual values, determining values between the actual samples and inserting such determined values at the appropriate intervals.

34. An encoding method as claimed in claim 33, characterized in that the values are determined using Raise Cosine filtering.

30

35. A method of decoding an encoded signal encoded by analysis filtering an input signal to produce a plurality of sub-band signals, using at least some of the sub-band signals to modulate a plurality of carrier signals, and combining the modulated carrier

signals to form the encoded signal, the decoding method being characterized by the steps of:

- 5 recovering the plurality of modulated carrier signals from the encoded signal;  
providing a plurality of carrier signals corresponding to those used to encode the  
encoded signal;  
using at least some of the carrier signals to demodulate the recovered modulated  
carrier signals to extract the corresponding plurality of sub-band signals; and  
using synthesis filter means complementary to the analysis filter means used to  
10 encode the encoded signal, processing the plurality of extracted sub-band signals  
to produce a decoded signal.

36. A decoding method as claimed in claim 35, for decoding encoded signals derived  
by encoding a digital input signal and interpolating values between adjacent actual values  
of the sub-band signals, the interpolation rate being such that the resulting interpolated  
15 sub-band signals all have the same rate, the decoding method further characterized by  
the step of decimating the extracted sub-band signals to remove interpolated values  
before application to the synthesis filter means.

37. A decoding method as claimed in claim 35 or 36, characterized in that, for  
20 decoding an encoded signal encoded using fewer than the total number of sub-bands  
generated by the analysis filter means, the processing step by synthesis filter means  
(33;67) substitutes zero-level signals for the unused sub-band signals.

1/17

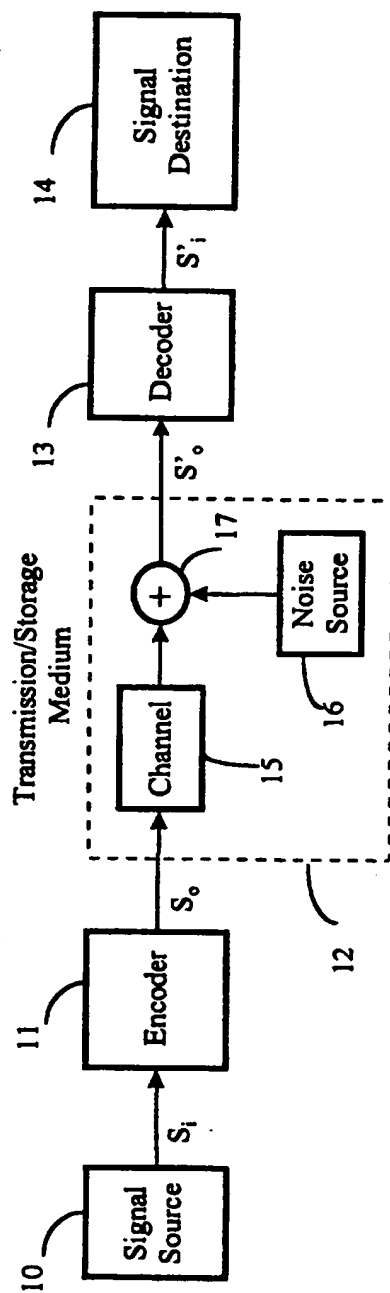
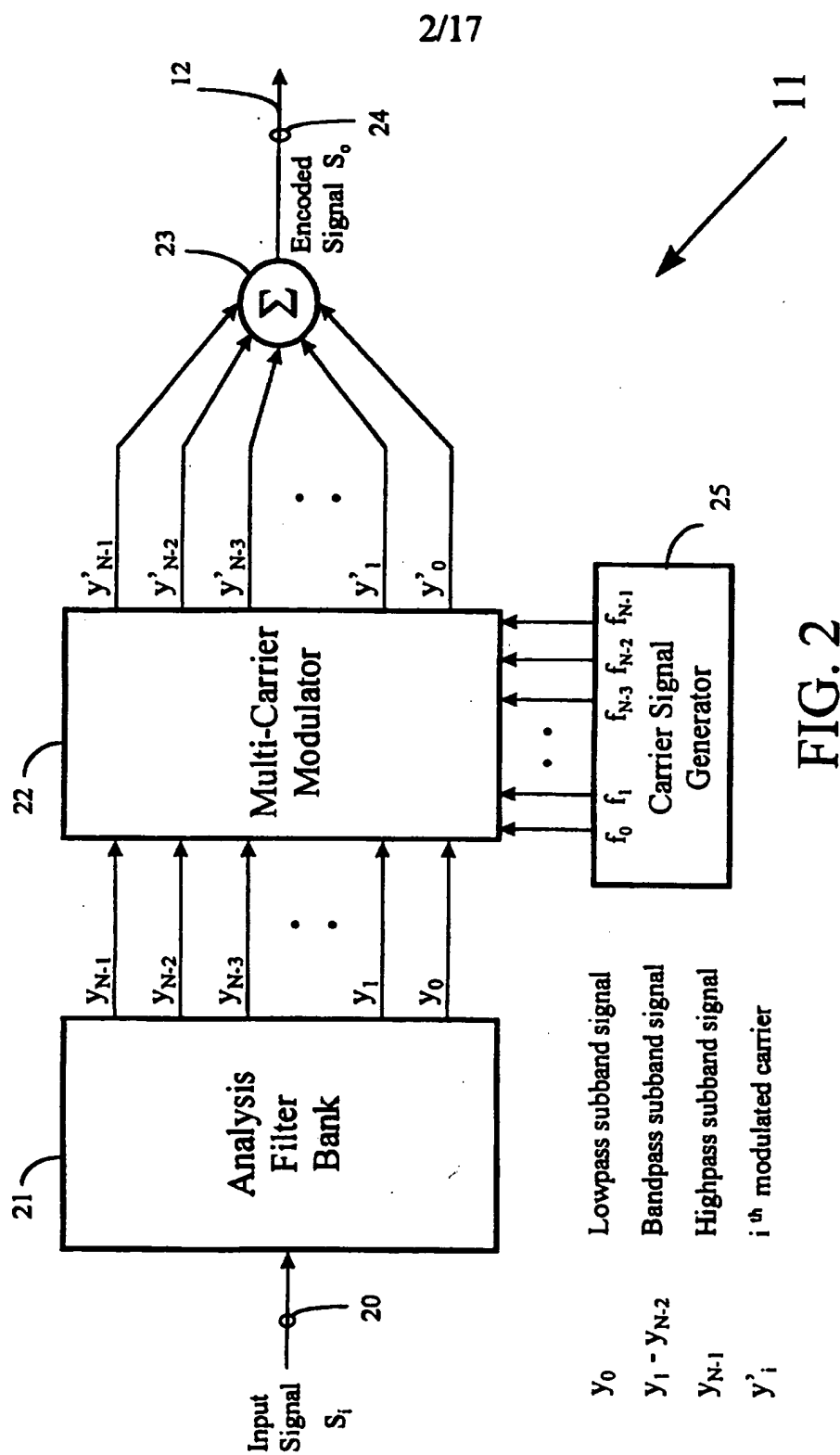


FIG. 1



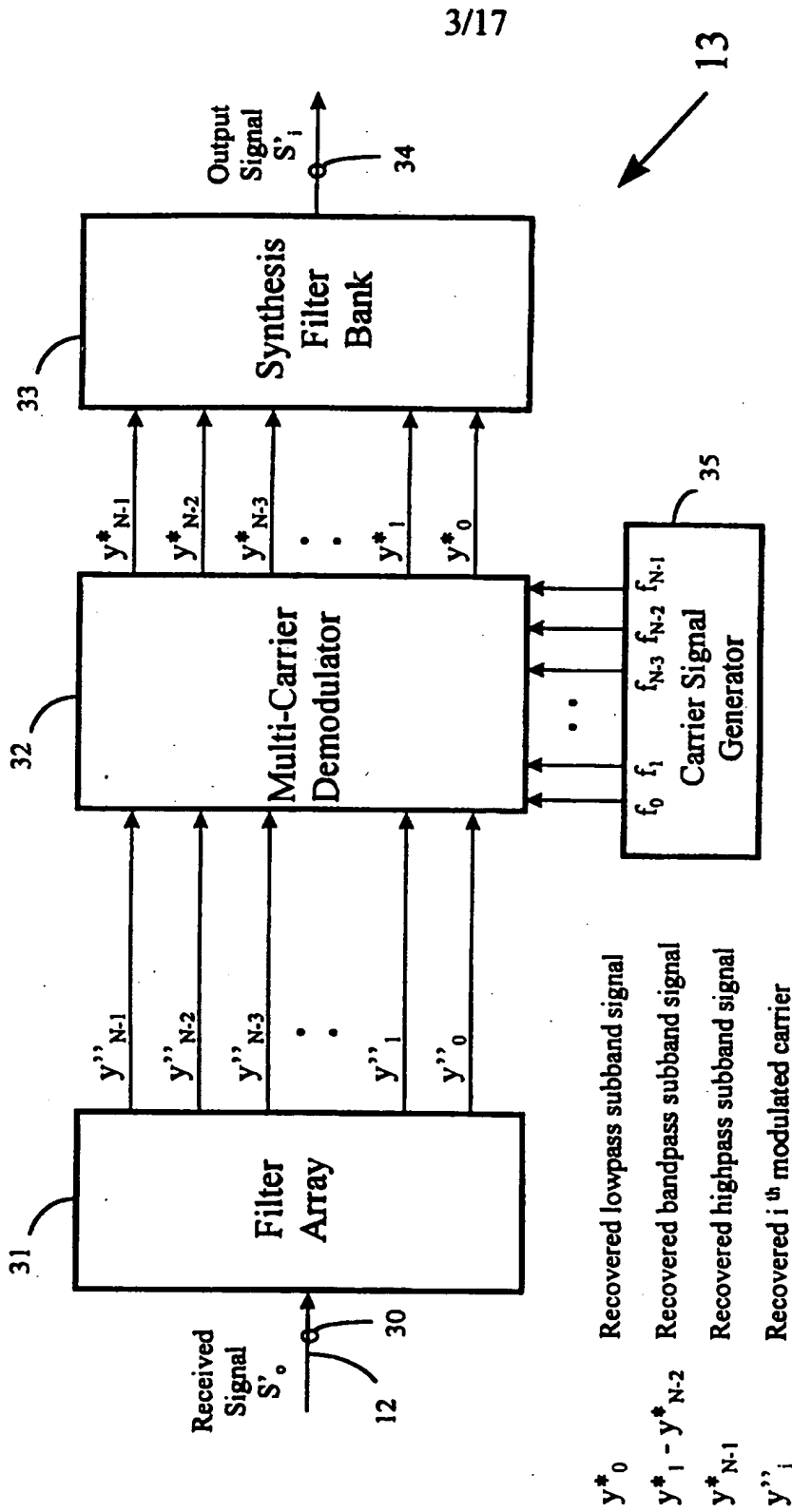


FIG. 3

4/17

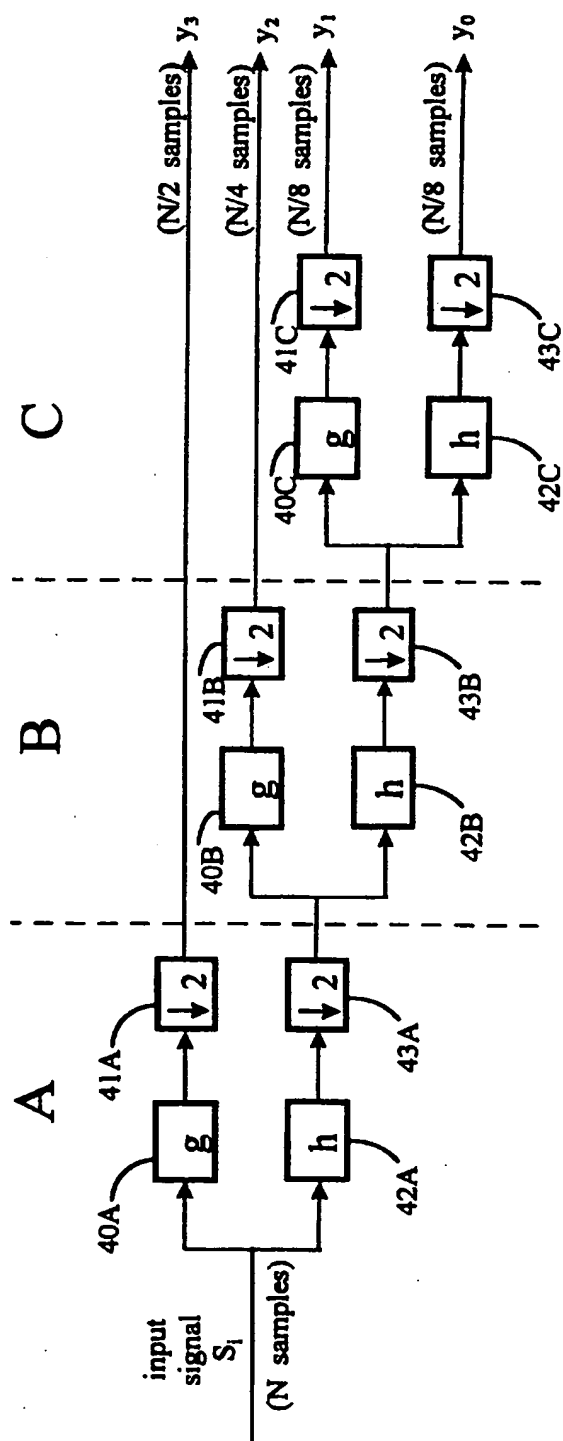


FIG. 4A

5/17

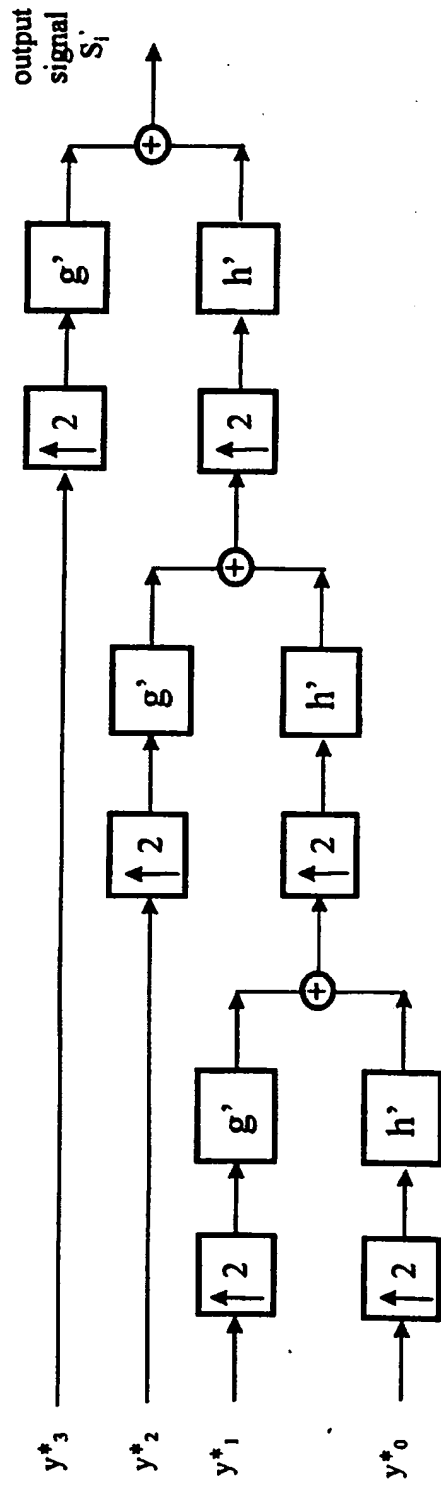


FIG. 4B

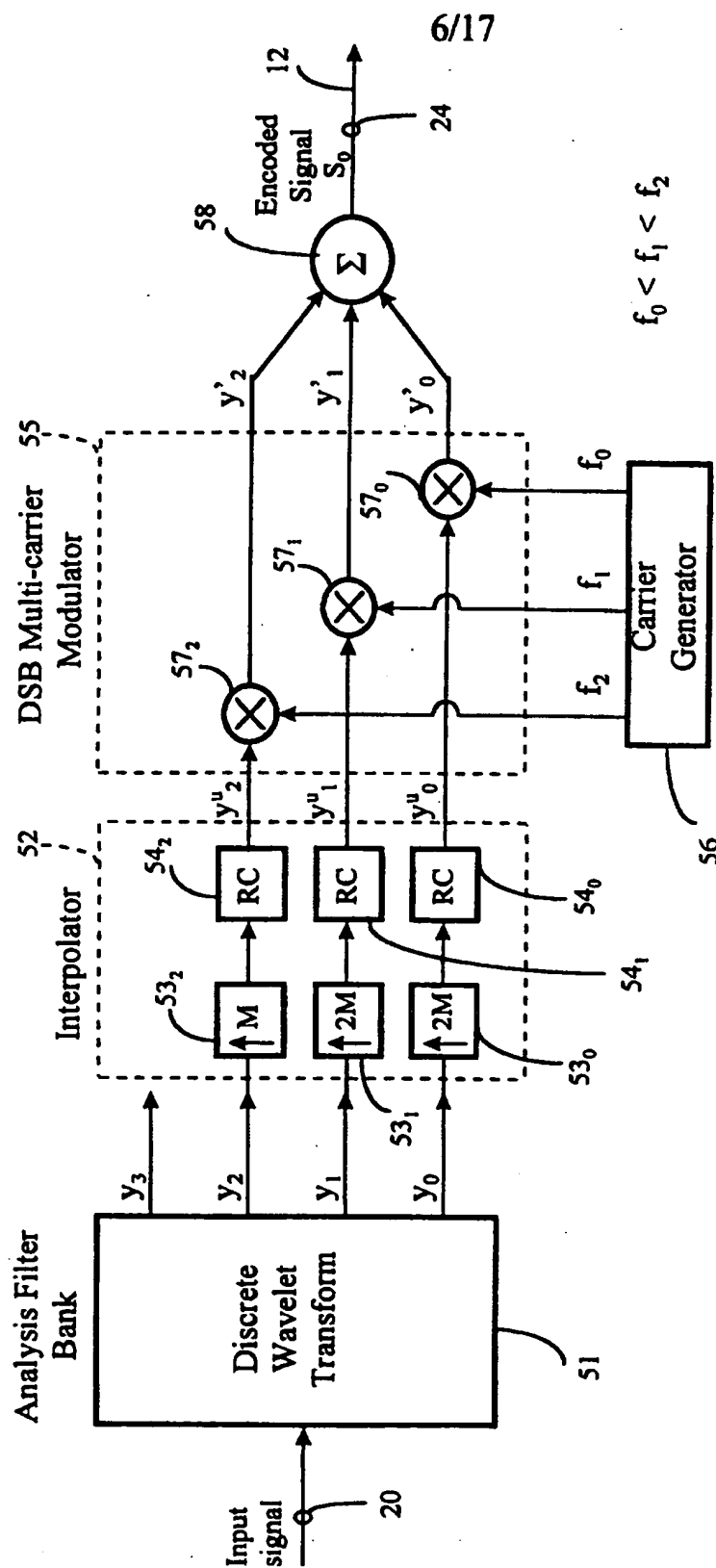


Figure 5



7/17

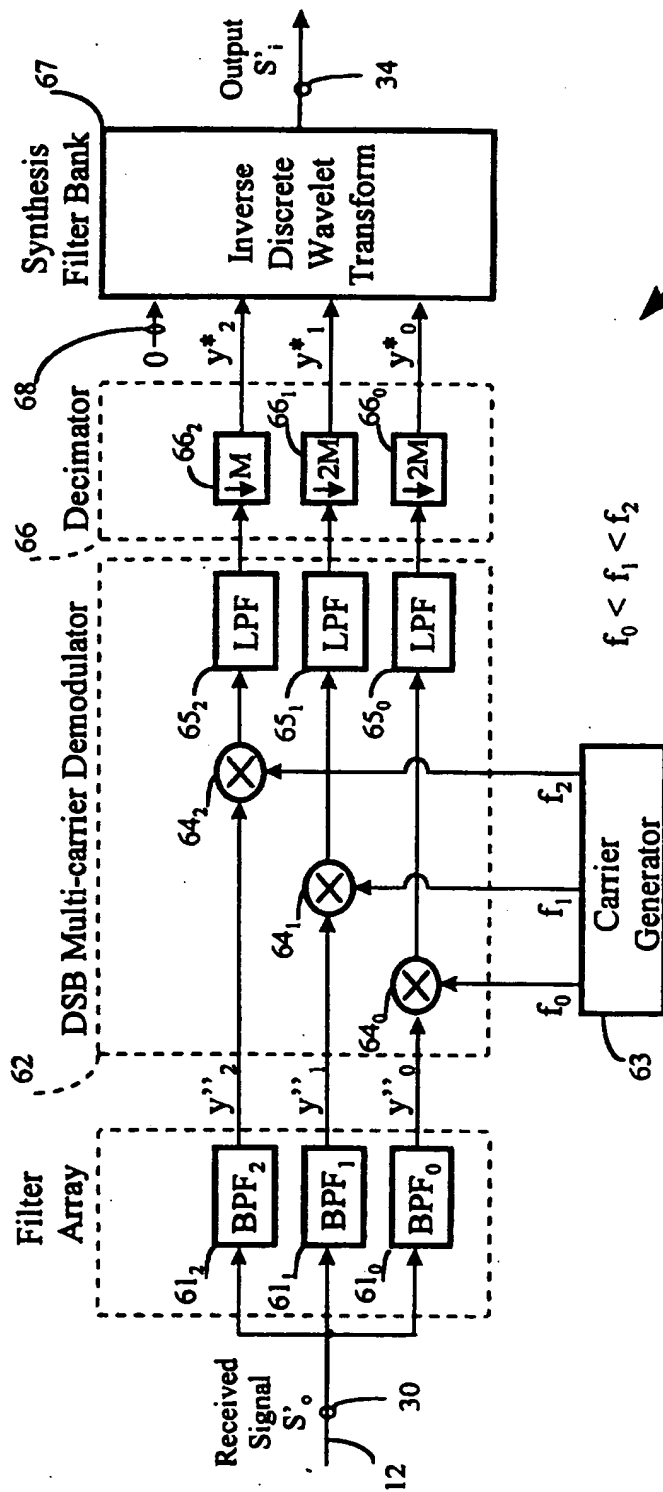
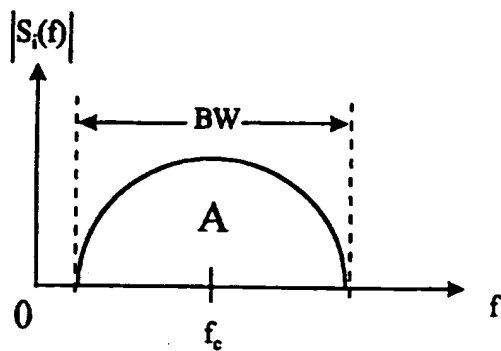


FIG. 6

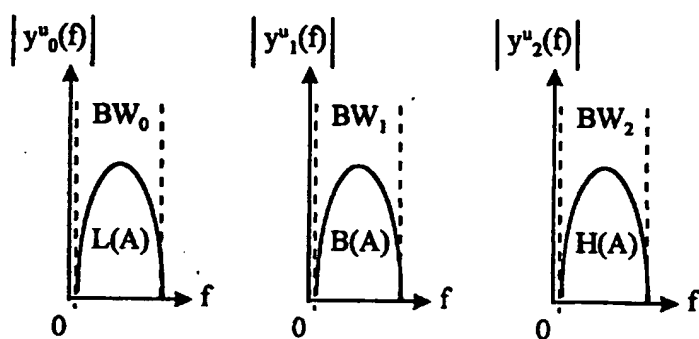
8/17

FIG. 7A



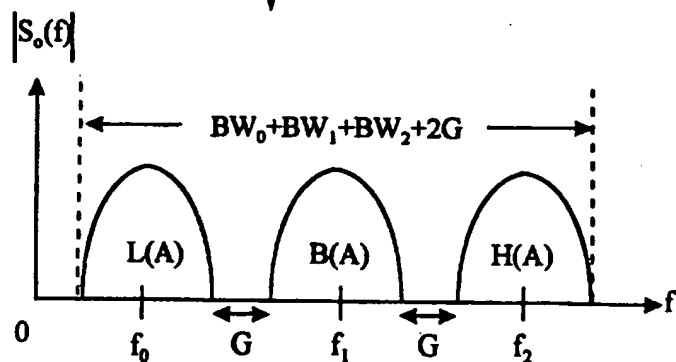
Analysis Filter +  
Upsample + RC filter

FIG. 7B



Multi-carrier SSB

FIG. 7C



9/17

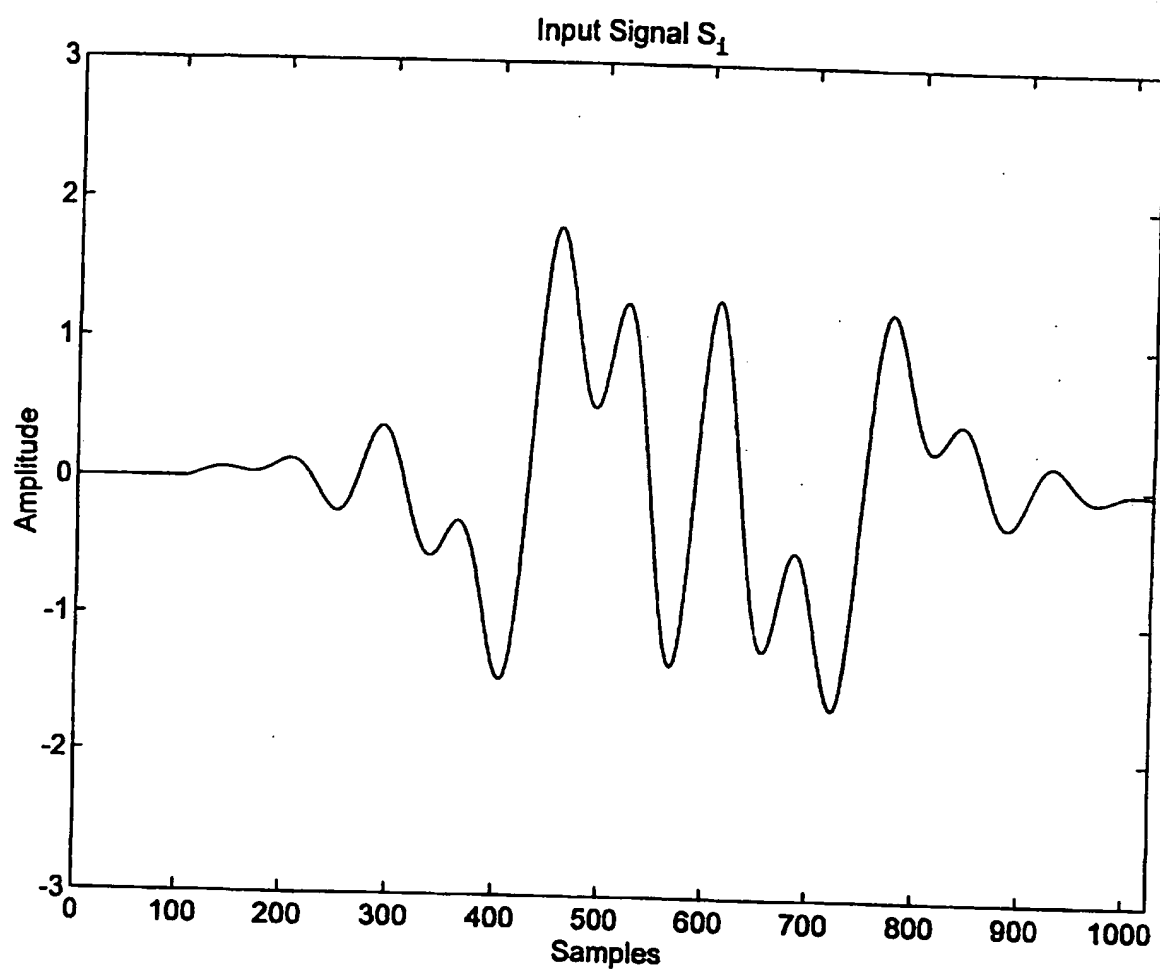


FIG. 8

10/17

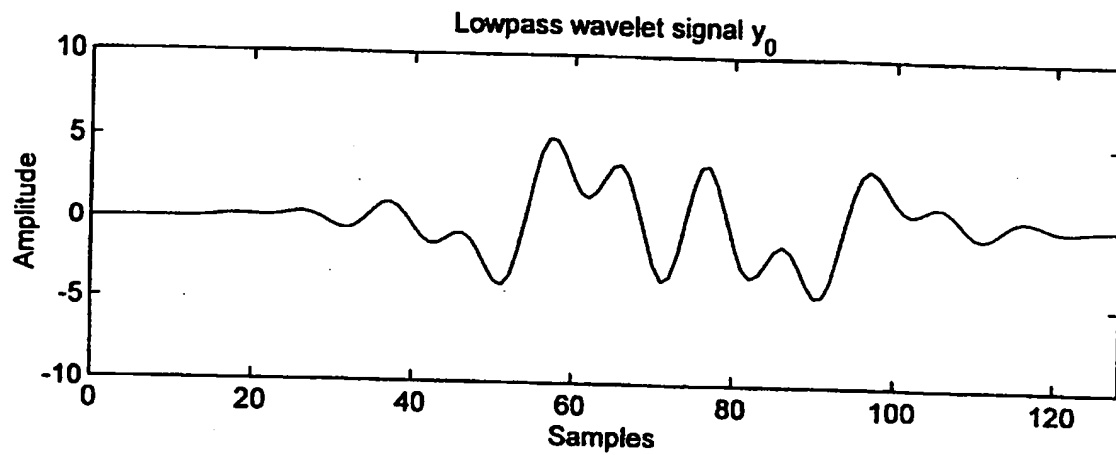


FIG. 9A

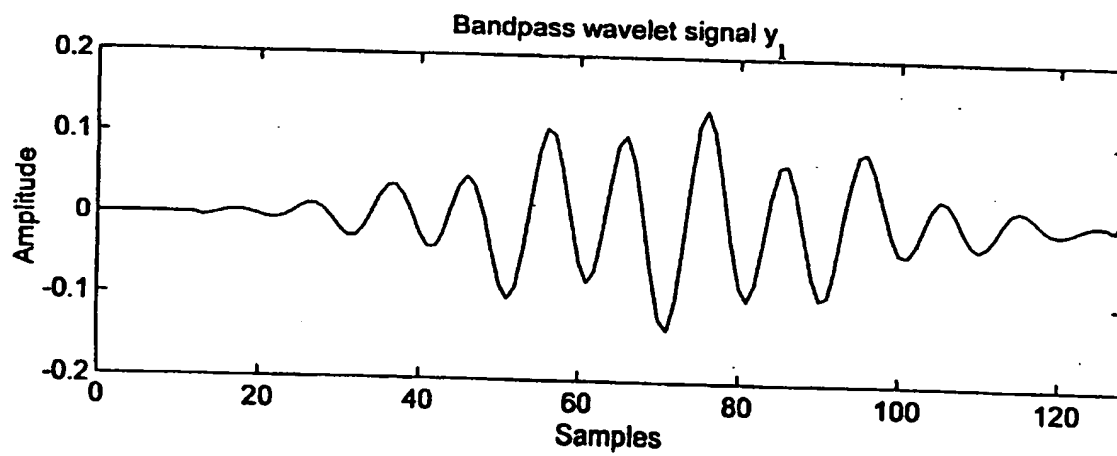


FIG. 9B

11/17

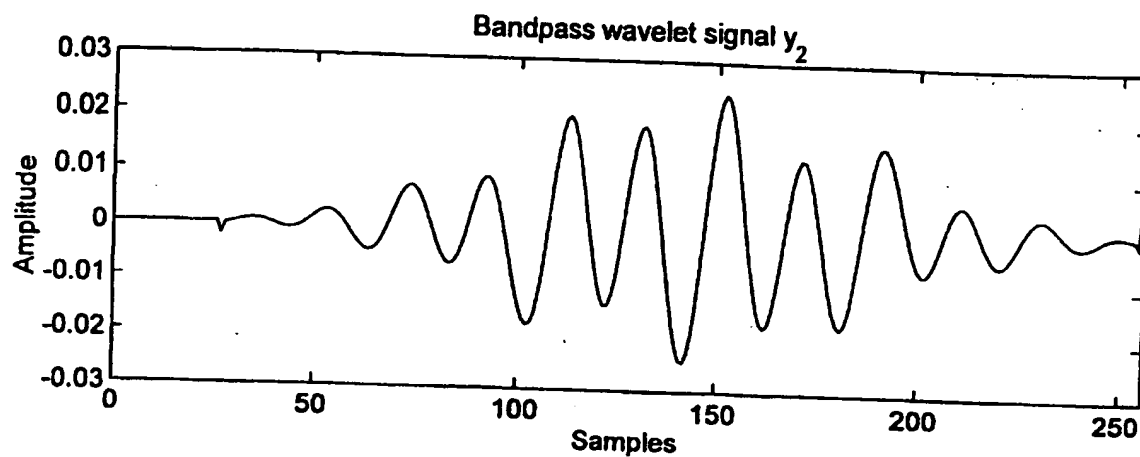


FIG. 9C

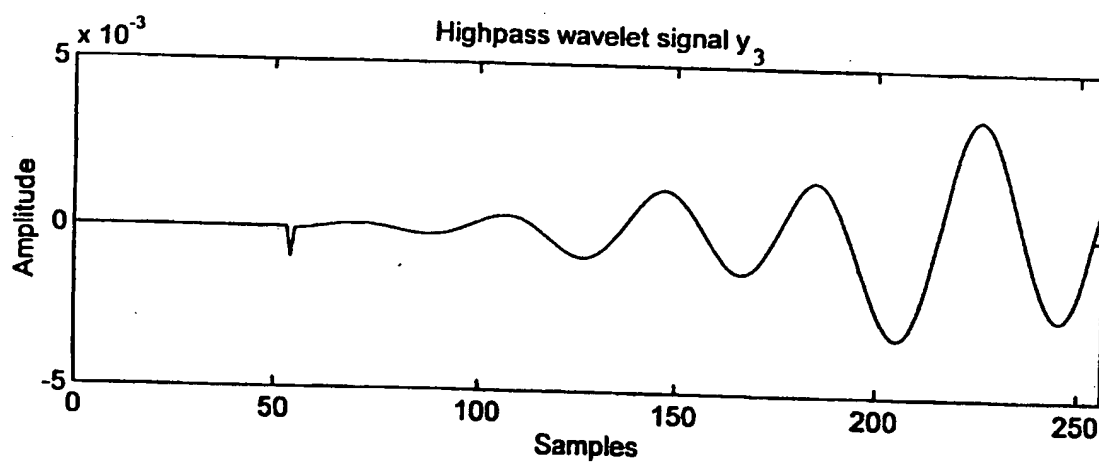


FIG. 9D

12/17

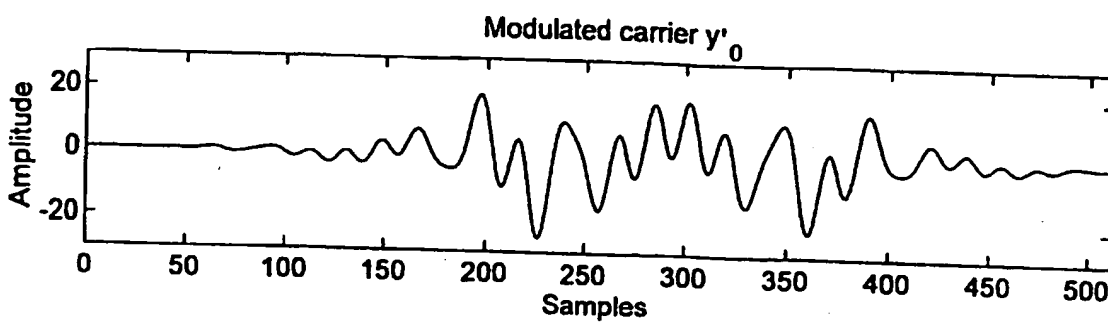


FIG. 10A

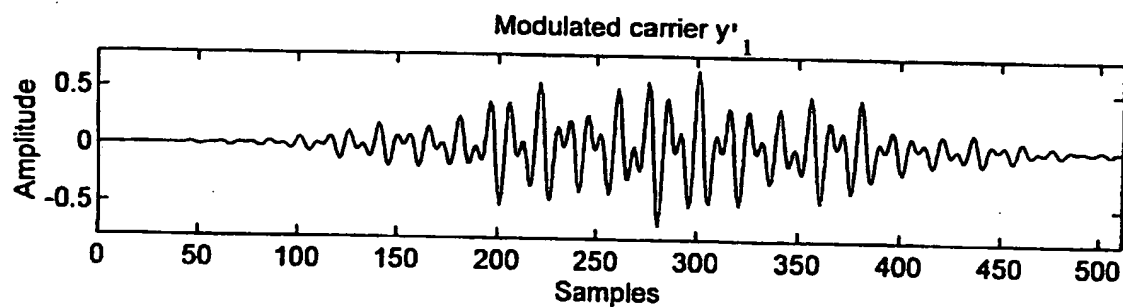


FIG. 10B

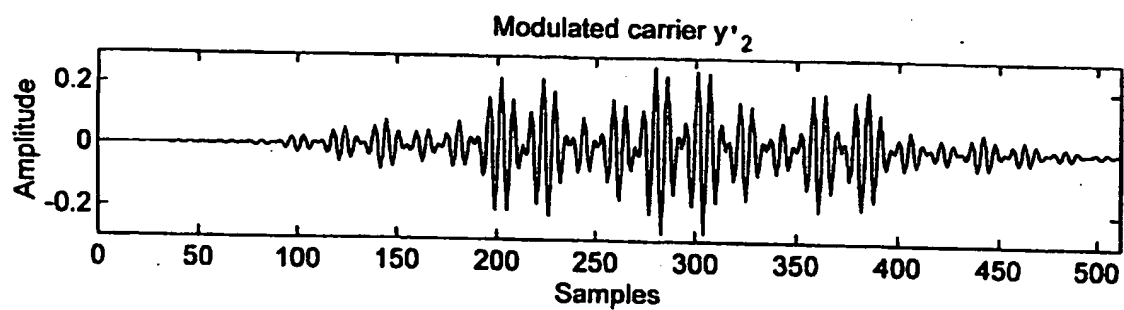


FIG. 10C

13/17

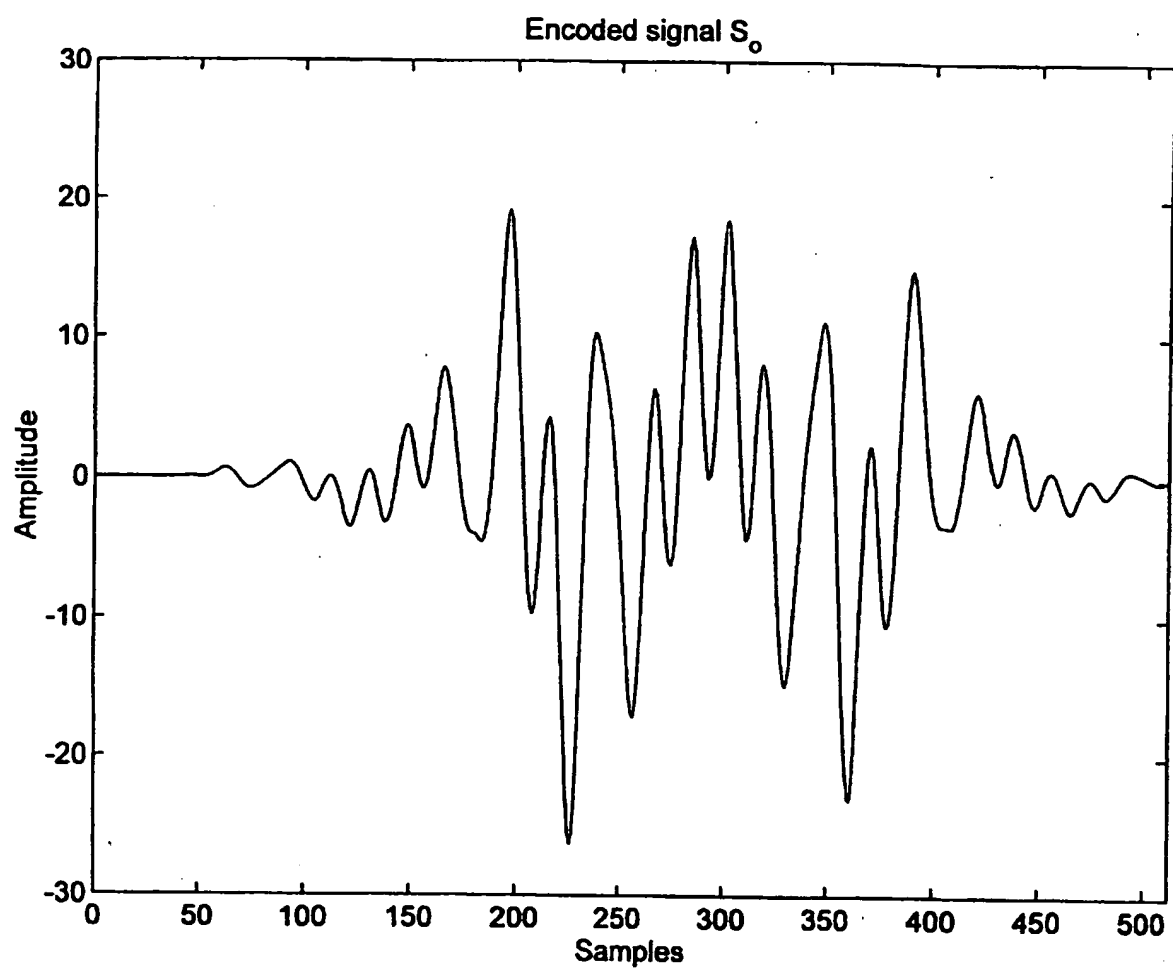


FIG. 11

14/17

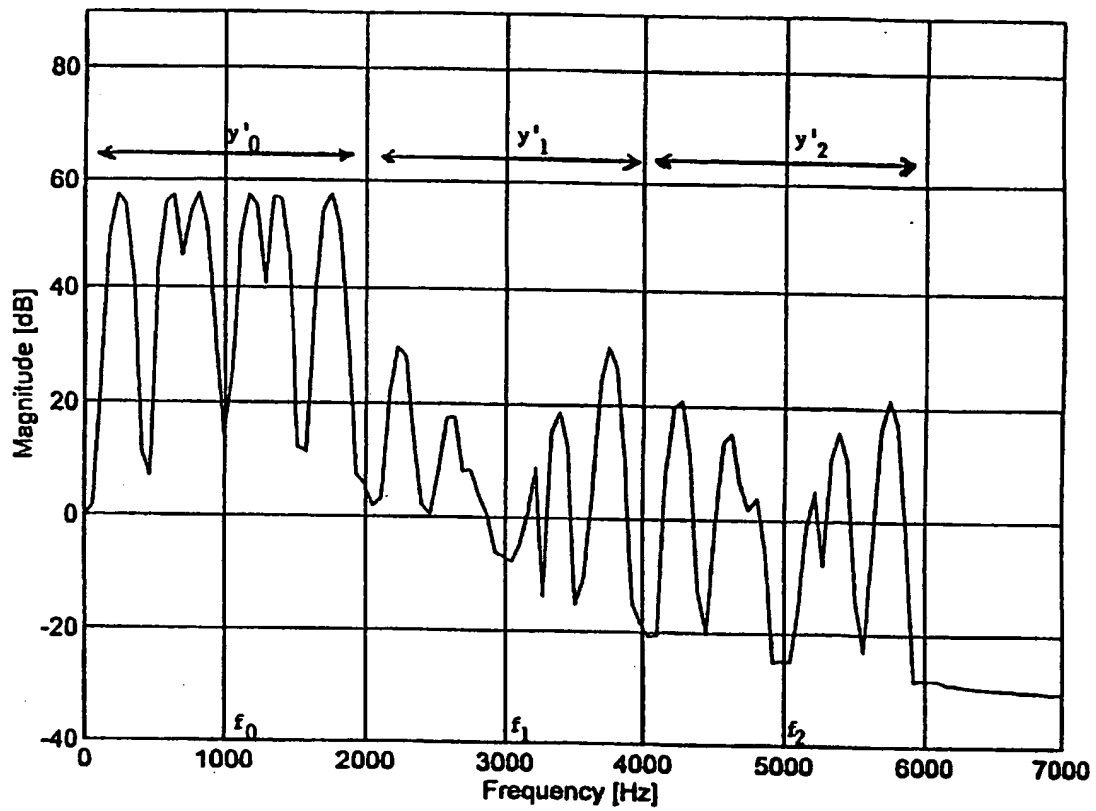


FIG. 12



15/17

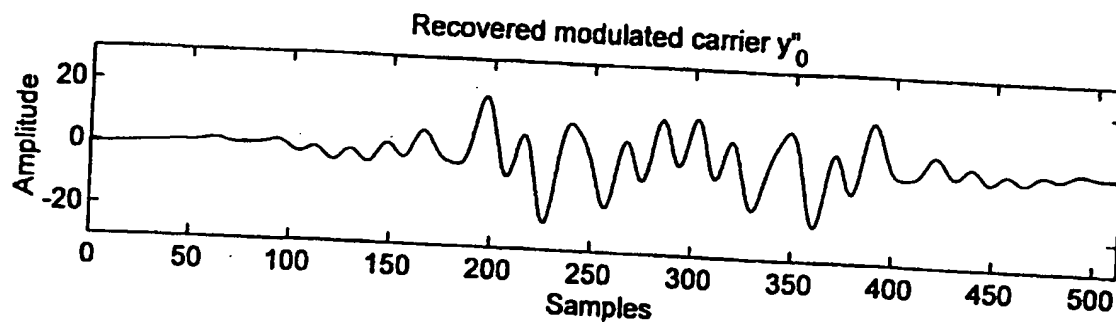


FIG. 13A

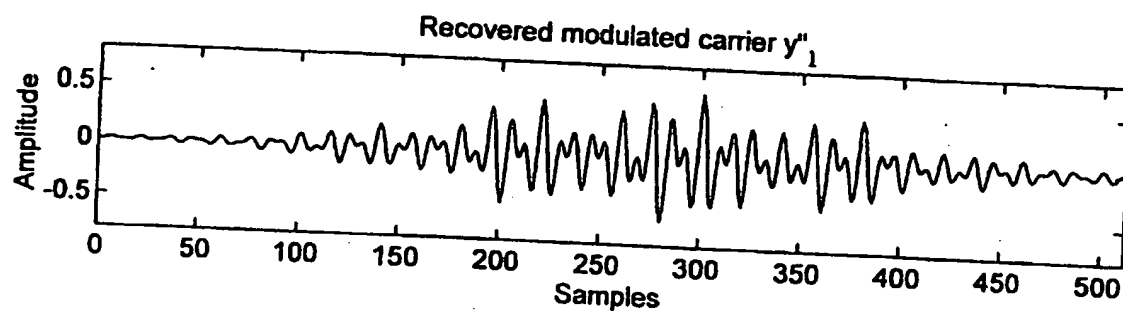


FIG. 13B

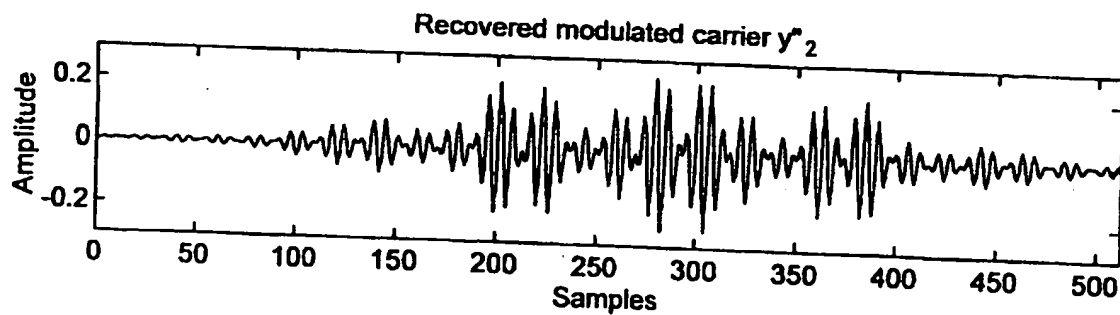


FIG. 13C

16/17

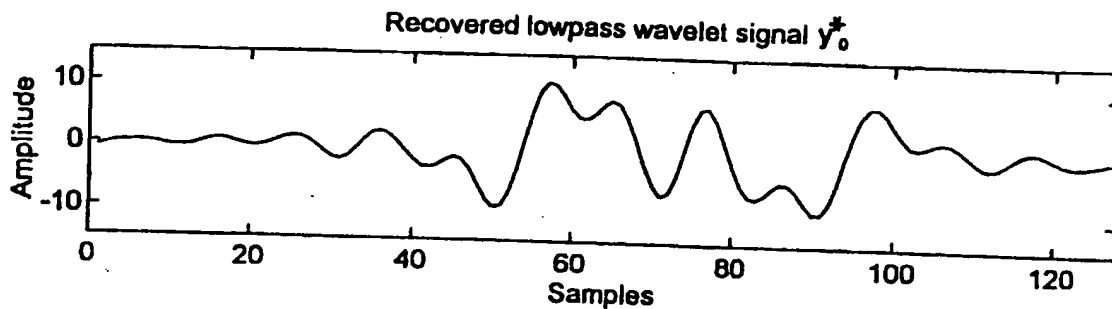


FIG. 14A

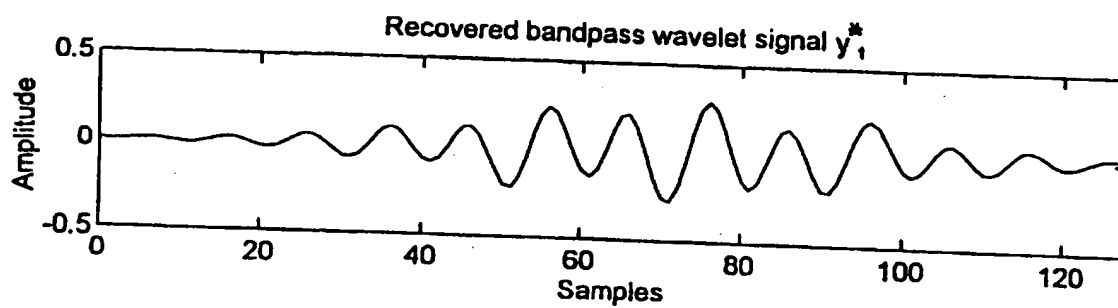


FIG. 14B

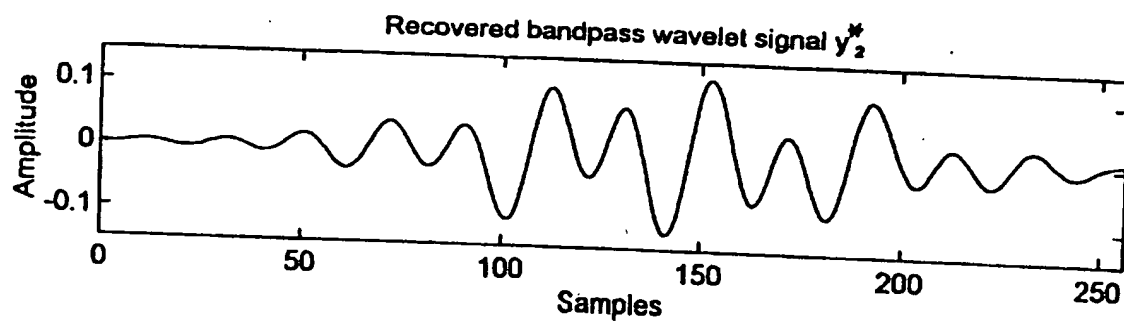


FIG. 14C

17/17

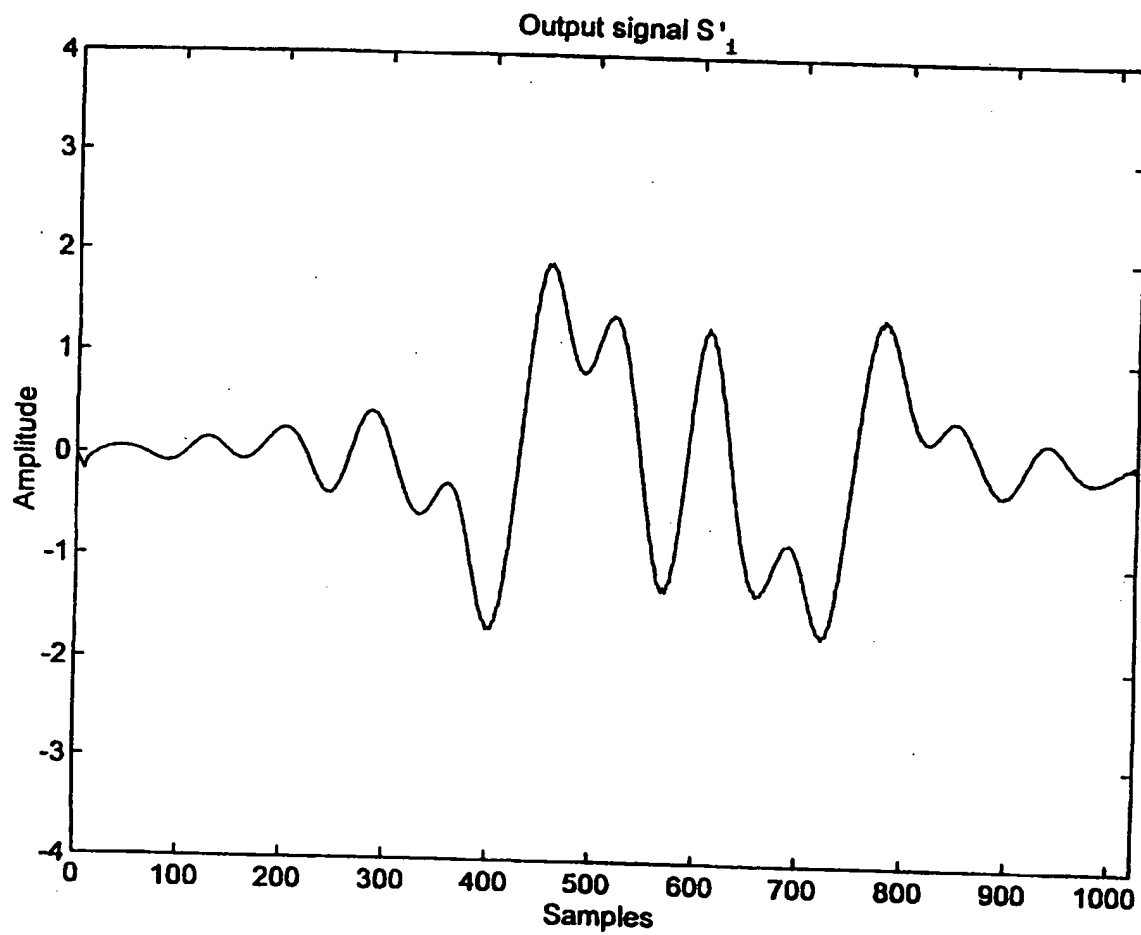


FIG. 15

PCT, CA 97/00608

IPC 6 H04B1/66 H04L5/06

### B. FIELDS SEARCHED

IPC 6 H04B H04L

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	<p>US 3 875 341 A (GASSMANN) 1 April 1975</p> <p>see column 1, line 4 - line 5</p> <p>see column 1, line 13</p> <p>see column 1, line 27 - line 28</p> <p>see column 1, line 58 - line 65</p> <p>see column 2, line 20 - line 24</p> <p>see column 4, line 41 - line 49</p> <p>see figure 1</p>	<p>1,2,9, 10,17, 20,21, 28,29,35</p>
Y	<p>—</p> <p>-/-</p>	<p>3,4,8, 11,12, 16,19, 22,23, 27-29,37</p>

**X** Patent family members are listed in annex.

**"&" document member of the same patent family**

**29/12/1997**

**Scriven, P**

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA 97/00608

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	YOW-JONG LIU, YA-QIN ZANG: "Wavelet-coded image transmission over land mobile radio channels" IEEE GLOBAL TELECOMMUNICATIONS CONFERENCE, 6 - 9 December 1992, NEW YORK, US, pages 235-239, XP000357791 see page 236, right-hand column, paragraph 2	3,4,8, 11,12, 16,19, 22,23, 27-29,37
Y	HEEGARD, SHAMOON: "High-fidelity audio compression: fractional-band wavelets" 1992 IEEE INTERNATIONAL CONFERENCE ON ACOUSTICS, SPEECH AND SIGNAL PROCESSING, 23 - 26 March 1992, NEW YORK, US, pages 201-204, XP000356972 see page 201, left-hand column, paragraph 3 see page 201, right-hand column, paragraph 2	3,4,11, 12,22, 23,28,29
A	HERLEY, VETTERLI: "Orthogonal time-varying filter banks and wavelets" PROCEEDINGS OF THE IEEE INTERNATIONAL SYMPOSIUM ON CIRCUITS AND SYSTEMS, 3 - 6 May 1993, NEW YORK, US, pages 391-394, XP000410017 see figures 1,2	1,9,17, 20,28,35

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA 97/00608

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 3875341 A	01-04-75	DE 2250094 A	02-05-74
		AU 5258573 A	29-08-74
		DD 105697 A	05-05-74
		DE 2328317 A	19-12-74
		DE 2208805 A	13-09-73
		FR 2173328 A	05-10-73
		FR 2244313 A	11-04-75
		GB 1424133 A	11-02-76
		JP 48100004 A	18-12-73
		NL 7302657 A	28-08-73
		ZA 7300815 A	28-11-73
		DE 2218154 A	25-10-73
		CA 986024 A	23-03-76